

**Australian Government** 

Safety, Rehabilitation and Compensation Commission

# Commission Data Specifications

Version 3.0

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Commission Data Warehouse Specifications version 3.0

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For more information, please contact the Director, Data Operations and Products, Comcare on 1300 366 979.

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# 1 Introduction

In 2004, Comcare accepted an external review's recommendation to establish a data warehouse, with the primary purpose of this to fulfil the *National Data Set for Compensation-based Statistics* (NDS) reporting requirements of the Australian Government jurisdiction and performance indicator reporting for the Safety, Rehabilitation and Compensation Commission (the Commission). Given this, the scope of the data warehouse incorporates data from premium paying agencies within the jurisdiction as well as licensees under the *Safety, Rehabilitation and Compensation Act* 1988 (SRC Act).

This document describes the requirements for licensees to submit data to the data warehouse. For the preparation of Licensee Key Performance Indicators (LKPIs) and NDS reporting, licensees will be required to supply some additional data outside of the data warehouse, for example, full time equivalent employee (FTE) numbers, which will be collected as required through alternative means.

# 2 Data supply procedures

# 2.1 Basis of reporting

Each data supply will encompass all claims lodged under the SRC Act that have incurred activity since 1 July 2000 inclusive, irrespective of the claim determination status (e.g. includes claims that are accepted, rejected, undetermined, withdrawn etc). This includes all new claims lodged under the SRC Act with the determining authority on or after 1 July 2000.

To avoid complex data extraction routines, licensees may choose to supply to the data warehouse all claims lodged under the SRC Act, irrespective of lodgement date or recent claim activity.

# 2.2 Data control table specification

Each data supply will be subject to a range of data integrity and validation procedures before it is processed into the data warehouse.

At the most basic level, control totals are required to confirm the data received by Comcare. Control totals are to be supplied in separate files (control files) to the actual data and each control file will include the record count for an individual data file as well as the summed total of an appropriate column from that file. Detailed control file specifications are provided in Section 3.3.

A range of validation routines will also be applied to the data to ensure these adhere to some basic rules. These routines will check that legitimate values/codes have been provided for all data fields and that the various combinations of codes are valid. Where applicable, the legitimate classifications from which each data field should be drawn, along with the related validation rules, are provided in Section 4.

Note that claims lodged with the determining authority prior to 1 July 2005 will not be subject to the full set of validation routines. Primarily, these claims will be checked to ensure the quality of the data, including the entry of legitimate values/codes in all data fields and logical date sequences (e.g. date of birth precedes date of injury). Validation routines to check that claims contain logical code combinations, particularly in relation to TOOCS coding, will not be implemented for these claims.

No individual determining authority's data will be processed into the data warehouse unless control totals match the supplied data files and the validation routines are passed. If either of these tests is failed the entire load from that determining authority will be rejected and a resubmission of the full data will be required following corrections to control totals and/or data by the determining authority.

# 2.3 Data supply medium

Data submissions are to be supplied to Comcare by secure file transfer. In this section *licensee* includes authorised claims manager where a licensee engages a claims manager for this purpose.

#### 2.3.1 Secure file transfer

Licensees submitting data to Comcare via secure file transfer will need to contact the Data Operations and Products (DOP) unit at

<u>SchemeReportingandAnalysis@comcare.gov.au</u> to gain access to the file transfer system.

Once an application for access to the system has been approved, DOP will provide licensees with information on how to access the system and complete secure file transfers.

To ensure that all support requests and communications (e.g. account creation, passphrase resets, scheduled/unscheduled outages, technical support, etc) are received in a timely fashion the licensee is to provide Comcare with up-to-date contact information for both a primary and secondary ICT contact.

#### 2.3.2 Secure file attributes

#### a) File size

Multiple data and control files are required to be submitted as described in the following sections. Prior to uploading these files to the secure file facility, they should be compressed into one file using an industry standard compression 'zip' tool.

#### b) Password protection

It is advised for added security to apply a password to the compressed file. If a password is used, it should be forwarded via email to <u>SchemeReportingandAnalysis@comcare.gov.au</u> to ensure it can be uncompressed prior to processing by Comcare.

#### c) Secure file naming

The compressed files should use the following naming convention to avoid confusion.

| Initial<br>Submission         | <licensee name=""> - <month> <year> Submission<br/>e.g. xyz pty ltd – March 2024 Submission</year></month></licensee> |
|-------------------------------|---|
| Resubmission                  | <licensee name=""> - <month> <year> Resubmission</year></month></licensee>  |
| Subsequent<br>Resubmission(s) | <licensee name=""> - <month> <year> Resubmission2 (3, 4, etc)</year></month></licensee>                               |

# 3 Data specifications

The data supplied each month will be contained within 26 files—13 data files and 13 control files. The data files contain the data to be loaded into the data warehouse and the control files will be used to confirm the data received by Comcare.

# 3.1 Data files

Each data file will contain zero or more records with each record relating to a claim, employee or employer. Each field within a record must be completed although null values may be entered where applicable (e.g. when the death due to claim field (B22) is set to no, a null value will be entered in the deceased date field (A3) in the Employee file).

Each data file will have a '.DAT' suffix. The whole filename will be in uppercase. Each file must be supplied in ASCII format with fixed width data fields and observing the data type formats described in **Table 1**. Each record will require a record terminator of either a CR or CR/LF.

An example of how the content of the Employee file might appear is shown below.

**Table 2** describes the data files required in each supply of data to the data warehouse.

Figure 1 illustrates the relationships between these files.

# Table 1: Data types

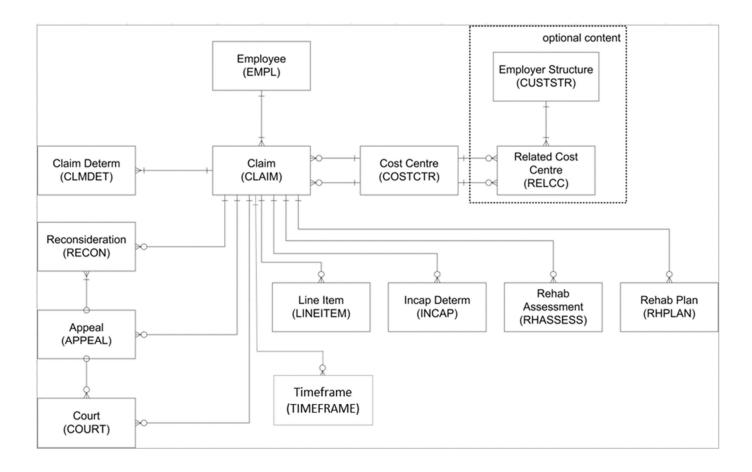
| Data type  | Description   | Example   | Null Value                             |
|--|---|---|--|
| Character (nn)   | Alphanumeric field, left justified and space filled, where 'nn' is the total length of the field.                                     | CANBERRA is represented in a Character (16) field as 'CANBERRA<br>'   | Space filled                           |
| Number (nn)<br>or  | Numeric field, with or without a specified<br>number of decimal places implied, right<br>justified and zero filled, where 'nn' is the | 13,242 is represented in a Number (8) field as 00013242<br>7312.47 is represented in a Number (8.2) field as 00731247 | Zero filled unless otherwise specified |
| Number (nn.n)  | total length of the field.  | \$570.00 is represented in a Number (8.2) field as 00057000   |  |
| Number (Snn)   | Numeric field as above, preceded by a sign to indicate a positive or negative   | +1730.65 is represented in a Number (S8.2) field as +00173065   | Zero filled                            |
| or   | value.  | -12,692.00 is represented in a Number (S8.2) field as -01269200   |  |
| Number (Snn.n)   | For convenience, a 0 (zero) or '' (space)<br>in place of the sign will also represent a<br>positive value.                            |   |  |
| Date   | Numeric field of format   | 14 June 2005 is represented as 20050614   | Zero filled                            |
|  | yyyymmdd  | 7 November 2005 is represented as 20051107  |  |
| Timestamp Numeric field of format<br>yyyymmddhhmissfffff |   | 3:24pm, 23 April 2005 is represented in as 2005042315240000000  | Zero filled                            |
|  | where ffffff is the microseconds  | 06:24:32.68, 2 October 2005 is represented as 20051002062432680000  |  |
|  |   | Midnight, 24 June 2005 is represented by 2005062400000000000  |  |

# Table 2: Data file descriptions

| Description         | File name   | Unique ID                                 | Content   |
|---------------------|-------------|---|---|
| Employee            | EMPL.DAT    | empl_id                                   | The current personal details for all employees who have made a claim that is reported to the data warehouse.  |
| Claim               | CLAIM.DAT   | claim_id                                  | Claim occurrence and process details for all claims that are within the scope of the data warehouse (see <b>Section 2.1</b> ).  |
| Claim determination | CLMDET.DAT  | claim_id<br>determ_chg_ts                 | Details of all changes in claim determination status over the life of a claim for all claims reported to the data warehouse.  |
| Cost centre         | COSTCTR.DAT | cust_id<br>cost_centre_no                 | Cost centre details for all cost centres defined by the determining authority for data warehouse reporting.   |
| Employer structure  | CUSTSTR.DAT | cust_id<br>structure_no                   | List of all cost centre structures defined by the determining authority for data warehouse reporting. This file is optional. That is, if the determining authority does not wish to view data for different cost centre structures through the data warehouse, this file may be empty. In this case, the corresponding control file will have a <i>Record count number</i> of zero.   |
| Related cost centre | RELCC.DAT   | cust_id<br>structure_no<br>cost_centre_no | The position in each cost centre structure for all cost centres and all cost centre structures defined<br>by the determining authority for data warehouse reporting.<br>This file is optional. That is, if the determining authority does not wish to view data for different<br>cost centre structures through the data warehouse, this file may be empty. In this case, the<br>corresponding control file will have a <i>Record count number</i> of zero. |
| Incapacity          | INCAP.DAT   | incap_det_id                              | Details of all incapacity determinations, including determinations of reduced earnings, made in relation to a claim for all claims reported to the data warehouse.  |
| Reconsideration     | RECON.DAT   | recon_id                                  | Details of all reconsideration requests received for all claims reported to the data warehouse.   |
| Appeal              | APPEAL.DAT  | appeal_id                                 | Details of all appeals to the Administrative Appeals Tribunal (AAT) for all claims reported to the data warehouse.  |

| Description               | File name     | Unique ID    | Content   |
|---------------------------|---------------|--------------|---|
| Line item                 | LINEITEM.DAT  | line_item_id | Details of all monetary determinations (payments and overpayment recoveries) made against a claim for all claims reported to the data warehouse.<br>Incapacity payments must be included in this file in addition to other line item payments. Details of overpayment recoveries are included in this file as negative payments. Third party recoveries are reported in the Claim file as a total only and not included here. |
| Rehabilitation plan       | RHPLAN.DAT    | rhplan_id    | Details each rehabilitation program determined under section 37 of the SRC Act over the life of a claim for all claims reported to the data warehouse.  |
| Rehabilitation assessment | RHASSESS.DAT  | rhassess_id  | Details each rehabilitation assessment under section 36 of the SRC Act over the life of a claim for all claims reported to the data warehouse.  |
| Court proceedings         | COURT.DAT     | court_id     | Details all court proceedings filed over the life of a claim for all claims reported to the data warehouse.   |
| Timeframe                 | TIMEFRAME.DAT | Situation_id | Details situations where a period of claim determination timeframe is stopped.  |

## Figure 1: Data file relationships



# 3.2 Data file specifications

This section details the structure of each data file. Data fields that are in bold represent the primary key (unique identifier or part thereof) of the file. Data type definitions are included in **Table 1**.

For clarity, field names include a two character suffix that identifies the type of data the field contains. The possible suffixes are:

| Suffix | Description   |  |  |  |
|--------|---|--|--|--|
| id     | An identifier (key) for either this file or another file                      |  |  |  |
| dt     | Date  |  |  |  |
| ts     | Timestamp – represents a specific time on a specific date                     |  |  |  |
| fl     | Flag – only values of Y or N may be entered in this field                     |  |  |  |
| am     | Amount – field typically contains a monetary amount                           |  |  |  |
| cd     | Code – field must contain a value taken from a list specific to the data item |  |  |  |
| no     | Number  |  |  |  |
| tx     | Text – general text values may be entered in this field                       |  |  |  |

Some data fields are specified as optional. Where a determining authority elects not to report an optional field, a null value must be submitted in its place.

| Field reference | Field                       | Data type      | Optional | Description                   |
|-----------------|-----------------------------|----------------|----------|-------------------------------|
| A1              | empl_id                     | Character (15) |          | Unique employee identifier    |
| A2              | birth_dt                    | Date           |          | Birth date                    |
| A3              | deceased_dt                 | Date           |          | Deceased date                 |
| A4              | sex_cd                      | Character (1)  |          | Gender code                   |
| A5              | reside_post_code_no         | Number (4)     |          | Residential postcode          |
| A6              | reside_country_cd           | Character (2)  |          | Country of residence code     |
| A7              | surname_tx                  | Character (30) | Y        | Surname                       |
| A8              | given_name_tx               | Character (30) | Y        | Given names                   |
| A9              | title_tx                    | Character (15) | Y        | Title                         |
| A10             | potential_adverse_impact_fl | Character (1)  | Y        | Potential adverse impact flag |
| A11             | potential_adverse_impact_dt | Date           | Y        | Potential adverse impact date |
| A12             | home_phone_tx               | Character (15) | Y        | Home phone number             |
| A13             | mobile_phone_tx             | Character (15) | Y        | Mobile phone number           |
| A14             | interpreter_required_fl     | Character (1)  | Y        | Interpreter required flag     |

#### 3.2.1 Employee (EMPL) file

| Field<br>reference | Field                  | Data type      | Optional | Description                  |
|--------------------|------------------------|----------------|----------|------------------------------|
| A15                | language_preference_tx | Character (20) | Y        | Preferred spoken language    |
| A16                | mail_country_cd        | Character (2)  | Y        | Mailing address country code |
| A17                | mail_post_code_no      | Number (4)     | Y        | Mailing address post code    |
| A18                | mail_suburb_tx         | Character (30) | Y        | Mailing address suburb       |
| A19                | mail_line_1_tx         | Character (30) | Y        | Mailing address line 1       |
| A20                | mail_line_2_tx         | Character (30) | Y        | Mailing address line 2       |
| A21                | mail_line_3_tx         | Character (30) | Y        | Mailing address line 3       |

# 3.2.2 Claim (CLAIM) file

| Field<br>reference | Field                        | Data type       | Optional | Description                                |
|--------------------|------------------------------|-----------------|----------|--|
| B1                 | claim_id                     | Character (15)  |          | Unique claim identifier                    |
| B2                 | empl_id                      | Character (15)  |          | Employee identifier                        |
| B3                 | inj_dt                       | Date            |          | Injury date                                |
| B4                 | nature_of_inj_cd             | Number (3)      |          | Nature of injury/disease code              |
| B5                 | body_locn_inj_cd             | Number (3)      |          | Bodily location of<br>injury/disease code  |
| B6                 | mechanism_of_injury_cd       | Number (2)      |          | Mechanism of incident code                 |
| B7                 | agency_of_injury_cd          | Number (4)      |          | Agency of injury/disease code              |
| B8                 | breakdown_agency_cd          | Number (4)      |          | Breakdown agency of<br>injury/disease code |
| B9                 | occpn_cd                     | Number (6)      |          | Occupation code                            |
| B10                | duty_status_cd               | Number (2)      |          | Duty status code                           |
| B11                | workplace_anzsic_cd          | Number (4)      |          | Workplace industry code                    |
| B12                | workplace_incid_post_code_no | Number (4)      |          | Workplace postcode                         |
| B13                | incident_labour_hire_fl      | Character (1)   |          | Labour hire flag                           |
| B14                | incident_app_train_fl        | Character (1)   |          | Apprentice/trainee flag                    |
| B15                | latest_rtw_status_cd         | Character (2)   |          | RTW status code                            |
| B16                | determ_cond_comm_tx          | Character (160) | Y        | Determined condition                       |
| B17                | claim_status_cd              | Character (1)   | Y        | Claim status code                          |
| B18                | claim_status_dt              | Date            | Y        | Claim status date                          |
| B19                | claim_empl_signed_dt         | Date            | Y        | Claimant signed date                       |
| B20                | claim_cust_recv_dt           | Date            |          | Received by employer date                  |
| B21                | claim_mgmt_recv_dt           | Date            |          | Received by claims processing date         |

| B22 | deceased_cause_fl           | Character (1)  |   | Death due to claim flag                    |
|-----|-----------------------------|----------------|---|--|
| B23 | outstanding_case_est_am     | Number (S10.2) | Y | Outstanding liability case estimate amount |
| B24 | total_liability_case_est_am | Number (11.2)  | Y | Total liability case estimate amount       |
| B25 | case_est_dt                 | Date           | Y | Case estimate date                         |
| B26 | std_wkly_hrs_no             | Number (3)     |   | Normal weekly hours                        |
| B27 | std_wkly_mins_no            | Number (2)     |   | Normal weekly minutes                      |
| B28 | nwe_first_total_am          | Number (11.2)  |   | Normal weekly earnings                     |
| B29 | cust_id                     | Number (8)     |   | Liable employer number                     |
| B30 | cost_centre_no              | Number (6)     |   | Liable cost centre number                  |
| B31 | payroll_cost_centre_no      | Number (6)     | Y | Payroll cost centre number                 |
| B32 | tpr_total_recov_am          | Number (11.2)  |   | Third party recoveries amount              |
| B33 | takeover_claim_fl           | Character (1)  |   | Takeover claim flag                        |
| B34 | adjustment_incap_wks_no     | Number (10.8)  |   | Incapacity adjustment weeks                |
| B35 | act_on_behalf_nm            | Character (50) | Y | Nominated representative's name            |
| B36 | act_on_behalf_phone         | Character (15) | Y | Nominated representative's phone number    |

# 3.2.3 Claim determination (CLMDET) file

| Field<br>reference | Field                  | Data type      | Optional | Description                              |
|--------------------|------------------------|----------------|----------|--|
| C1                 | claim_id               | Character (15) |          | Claim identifier                         |
| C2                 | determ_chg_ts          | Timestamp      |          | Date/time of determination status change |
| C3                 | claim_determ_cd        | Character (1)  |          | Determination status code                |
| C4                 | claim_determ_reason_cd | Number (4)     |          | Claim determination reason code          |

# 3.2.4 Cost centre (COSTCTR) file

| Field<br>reference | Field                     | Data type      | Optional | Description             |
|--------------------|---------------------------|----------------|----------|-------------------------|
| D1                 | cust_id                   | Number (8)     |          | Employer identifier     |
| D2                 | cost_centre_no            | Number (6)     |          | Cost centre number      |
| D3                 | cost_centre_name_tx       | Character (50) |          | Cost centre name        |
| D4                 | cost_centre_short_name_tx | Character (12) | Y        | Cost centre short name  |
| D5                 | active_fl                 | Character (1)  |          | Cost centre active flag |

# 3.2.5 Employer structure (CUSTSTR) file

| Field<br>reference | Field             | Data type      | Optional | Description                  |
|--------------------|-------------------|----------------|----------|------------------------------|
| E1                 | cust_id           | Number (8)     |          | Employer identifier          |
| E2                 | structure_no      | Number (2)     |          | Cost centre structure number |
| E3                 | structure_desc_tx | Character (40) |          | Cost centre structure name   |

NB Empty file permitted

# 3.2.6 Related cost centre (RELCC) file

| Field<br>reference | Field                 | Data type  | Optional | Description                    |
|--------------------|-----------------------|------------|----------|--------------------------------|
| F1                 | cust_id               | Number (8) |          | Employer identifier            |
| F2                 | structure_no          | Number (2) |          | Cost centre structure number   |
| F3                 | cost_centre_no        | Number (6) |          | Cost centre number             |
| F4                 | level_no              | Number (1) |          | Level in cost centre structure |
| F5                 | parent_cost_centre_no | Number (6) |          | Parent cost centre number      |

NB Empty file permitted

# 3.2.7 Incapacity (INCAP) file

| Field<br>reference | Field                | Data type      | Optional | Description                                   |
|--------------------|----------------------|----------------|----------|---|
| G1                 | incap_det_id         | Character (15) |          | Unique incapacity<br>determination identifier |
| G2                 | claim_id             | Character (15) |          | Claim identifier                              |
| G3                 | act_reference_cd     | Number (3)     |          | Incapacity SRC Act reference code             |
| G4                 | incap_determ_cd      | Character (1)  |          | Incapacity determination code                 |
| G5                 | incap_determ_dt      | Date           |          | Incapacity determination date                 |
| G6                 | total_liability_am   | Number (11.2)  |          | Total liability amount                        |
| G7                 | incap_durn_wks_no    | Number (10.5)  |          | Incapacity weeks                              |
| G8                 | original_decision_dt | Date           |          | Original determination date                   |
| G9                 | incap_start_dt       | Date           |          | Incapacity start date                         |
| G10                | incap_end_dt         | Date           |          | Incapacity end date                           |
| G11                | full_shift_lost_fl   | Character (1)  |          | Full shift lost flag                          |
| G12                | actual_lost_hrs_no   | Number (5)     |          | Actual lost hours                             |
| G13                | actual_lost_mins_no  | Number (2)     |          | Actual lost minutes                           |
| G14                | used_std_wkly_hrs_no | Number (3)     |          | Determination normal weekly hours             |

| Field reference | Field                 | Data type     | Optional | Description                         |
|-----------------|-----------------------|---------------|----------|-------------------------------------|
| G15             | used_std_wkly_mins_no | Number (2)    |          | Determination normal weekly minutes |
| G16             | actual_hrs_worked_cd  | Character (1) | Y        | Hours worked code                   |
| G17             | incap_start_time_no   | Number (4)    | Y        | Incapacity start time               |
| G18             | incap_end_time_no     | Number (4)    | Y        | Incapacity end time                 |

# 3.2.8 Reconsideration (RECON) file

| Field<br>reference | Field             | Data type      | Optional | Description                           |
|--------------------|-------------------|----------------|----------|---------------------------------------|
| H1                 | recon_id          | Character (15) |          | Unique reconsideration identifier     |
| H2                 | claim_id          | Character (15) |          | Claim identifier                      |
| H3                 | req_recv_dt       | Date           |          | Reconsideration request received date |
| H4                 | initiator_cd      | Character (1)  |          | Reconsideration initiator code        |
| H5                 | issue_cd          | Character (2)  |          | Reconsideration issue code            |
| H6                 | recon_decision_dt | Date           |          | Reconsideration decision date         |
| H7                 | recon_decision_cd | Character (1)  |          | Reconsideration decision code         |
| H8                 | appeal_id         | Character (15) |          | Appeal identifier                     |

# 3.2.9 Appeal (APPEAL) file

| Field reference | Field                 | Data type      | Optional | Description                     |
|-----------------|-----------------------|----------------|----------|---------------------------------|
| 1               | appeal_id             | Character (15) |          | Unique appeal identifier        |
| 12              | claim_id              | Character (15) |          | Claim identifier                |
| 13              | recv_s29_dt           | Date           |          | Appeal received date            |
| 14              | notice_s37_dt         | Date           | Y        | Appeal notice date              |
| 15              | initiator_cd          | Character (1)  |          | Appeal initiator code           |
| 16              | issue_cd              | Character (2)  |          | Appeal issue code               |
| 17              | appeal_decision_dt    | Date           |          | Appeal decision date            |
| 18              | appeal_decision_cd    | Character (1)  |          | Appeal decision code            |
| 19              | appeal_method_cd      | Character (1)  |          | Appeal decision method code     |
| 110             | appeal_hearing_dt     | Date           |          | Appeal substantive hearing date |
| l11             | scheme_significant_fl | Character (1)  |          | Scheme significant flag         |
| l12             | aat_reference_tx      | Character (15) |          | AAT reference                   |

| Field reference | Field                 | Data type      | Optional | Description                      |
|-----------------|-----------------------|----------------|----------|----------------------------------|
| J1              | line_item_id          | Character (15) |          | Unique line item identifier      |
| J2              | claim_id              | Character (15) |          | Claim identifier                 |
| J3              | act_reference_cd      | Number (3)     |          | Line item SRC Act reference code |
| J4              | payment_category_cd   | Number (3)     |          | Payment category code            |
| J5              | line_item_type_cd     | Character (1)  |          | Line item type code              |
| J6              | line_item_determ_cd   | Character (1)  |          | Line item determination code     |
| J7              | line_item_determ_dt   | Date           |          | Line item determination date     |
| J8              | line_item_excl_gst_am | Number (S11.2) |          | Line item net GST amount         |
| J9              | line_item_gst_am      | Number (S11.2) |          | Line item GST amount             |
| J10             | line_item_serv_dt     | Date           |          | Line item service date           |

# 3.2.10 Line item (LINEITEM) file

# 3.2.11 Rehabilitation plan (RHPLAN) file

| Field reference | Field                     | Data type      | Optional | Description  |
|-----------------|---------------------------|----------------|----------|--|
| K1              | rhplan_id                 | Character (15) |          | Unique rehabilitation plan identifier                |
| K2              | claim_id                  | Character (15) |          | Claim identifier                                     |
| К3              | plan_determined_dt        | Date           |          | Rehabilitation plan determination date               |
| K4              | plan_approval_status_cd   | Character (1)  |          | Rehabilitation plan approval status code             |
| K5              | plan_closure_dt           | Date           |          | Rehabilitation plan closure date                     |
| K6              | plan_closure_reason_cd    | Character (1)  |          | Rehabilitation plan closure reason code              |
| K7              | final_outcome_employer_cd | Character (1)  |          | Rehabilitation plan final<br>outcome code – employer |
| K8              | final_outcome_job_cd      | Character (1)  |          | Rehabilitation plan final<br>outcome code – duties   |
| К9              | final_outcome_hours_cd    | Character (1)  |          | Rehabilitation plan final<br>outcome code – hours    |
| K10             | final_outcome_dt          | Date           | Y        | Rehabilitation plan final outcome date               |
| K11             | plan_actual_start_dt      | Date           | Y        | Rehabilitation plan start date                       |
| K12             | plan_actual_cost_am       | Number (6)     | Y        | Rehabilitation plan cost-to-date                     |
| K13             | plan_provider_cd          | Number (11)    | Y        | Rehabilitation provider code                         |

| Field reference | Field             | Data type      | Optional | Description                                    |
|-----------------|-------------------|----------------|----------|--|
| L1              | rhassess_id       | Character (15) |          | Unique rehabilitation<br>assessment identifier |
| L2              | claim_id          | Character (15) |          | Claim identifier                               |
| L3              | s36_assessment_dt | Date           |          | Rehabilitation assessment date                 |

# 3.2.12 Rehabilitation assessment (RHASSESS) file

# 3.2.13 Court (COURT) file

| Field<br>reference | Field              | Data type      | Optional | Description                    |
|--------------------|--------------------|----------------|----------|--------------------------------|
| M1                 | court_id           | Character (15) |          | Unique court identifier        |
| M2                 | claim_id           | Character (15) |          | Claim identifier               |
| M3                 | appeal_id          | Character (15) |          | Appeal identifier              |
| M4                 | court_type_cd      | Character (2)  |          | Court type code                |
| M5                 | filed_dt           | Date           |          | Filed date                     |
| M6                 | initiator_cd       | Character (1)  |          | Court initiator code           |
| M7                 | court_hearing_dt   | Date           |          | Court substantive hearing date |
| M8                 | resolved_dt        | Date           |          | Resolved date                  |
| M9                 | court_decision_cd  | Character (1)  |          | Court decision code            |
| M10                | court_method_cd    | Character (1)  |          | Court decision method code     |
| M11                | court_reference_tx | Character (15) |          | Court reference                |

# 3.2.14 Timeframe (TIMEFRAME) file

| Field<br>reference | Field              | Data type      | Optional | Description                 |
|--------------------|--------------------|----------------|----------|-----------------------------|
| N1                 | situation_id       | Character (15) |          | Unique situation identifier |
| N2                 | claim_id           | Character (15) |          | Claim identifier            |
| N3                 | situation_cd       | Character (2)  |          | Situation code              |
| N4                 | situation_start_dt | Date           |          | Start date for situation    |
| N5                 | situation_end_dt   | Date           | Y        | End date for situation      |

# 3.3 Control file specifications

For each data file there will be a corresponding control file. The name of each control file will be the same as the corresponding data file but will have a '.CT' suffix. The whole filename will be in uppercase.

All control files will have the same format. They will include a single record containing the date of extract, a count of the number of records in the file and a control total which sums a particular field in the corresponding data file.

The format for each control file is therefore:

| Field            | Data Type      | Description          |
|------------------|----------------|----------------------|
| extract_dt       | Date           | Extract date         |
| record_count_no  | Number (8)     | Record count number  |
| control_total_am | Number (S18.2) | Control total amount |

The fields to be summed into the *Control total amount* in the control file for each corresponding data file are:

| Data file | Data field to sum               |
|-----------|---------------------------------|
| EMPL      | reside_post_code_no             |
| CLAIM     | occpn_cd                        |
| CLMDET    | hhmiss portion of determ_chg_ts |
| COSTCTR   | none                            |
| CUSTSTR   | none                            |
| RELCC     | none                            |
| INCAP     | total_liability_am              |
| RECON     | req_recv_dt                     |
| APPEAL    | recv_s29_dt                     |
| LINEITEM  | line_item_excl_gst_am           |
| RHPLAN    | plan_determined_dt              |
| RHASSESS  | s36_assessment_dt               |
| COURT     | filed_dt                        |
| TIMEFRAME | situation_start_dt              |

The record length of each control file is 35 bytes. As there is only one record in each file the record terminator is optional.

# 4 Data field definitions

# 4.1 Employee file data fields

This file lists current personal details for all employees who have made a claim which is reported to the data warehouse.

#### A1 Unique employee identifier

| Description | A reference that uniquely identifies each employee.  |  |
|-------------|--|--|
| Purpose     | Unique identifier for this record.   |  |
| Format      | Character (15).  |  |
| Rules       | <ol> <li>Must be unique for the file.</li> <li>Should have at least one related record in the <i>CLAIM file</i> (based on <i>Employee identifier</i> (B2)).<br/>Note: Future intent to reclassify this warning as an error when all licensees are able to comply.</li> </ol> |  |

#### A2 Birth date

| Description | The date of birth of the employee.                      |
|-------------|---|
| Purpose     | Required for NDS reporting (Item C3).                   |
| Format      | Date.   |
| Rules       | 1. Must be between 1 January 1900 and 31 December 2099. |

#### A3 Deceased date

| Description | The date of death of the employee.  |
|-------------|---|
| Purpose     | Required for NDS reporting (Item E2).   |
| Format      | Date.   |
| Rules       | <ol> <li>If the <i>Death due to claim flag</i> (B22) is 'Y' for any related<br/>compliant claim then must not be null.</li> </ol> |
|             | <ol> <li>If not null, then must be on or after the <i>Injury date</i> (B3)<br/>for any related accepted claim.</li> </ol>         |
|             | <ol> <li>If not null, then must be between 1 January 1900 and<br/>31 December 2099.</li> </ol>                                    |

#### A4 Gender code

| Description    | The gender of the employee.                         |
|----------------|---|
| Purpose        | Required for NDS reporting (Item C4).               |
| Format         | Character (1).                                      |
| Classification | M – Male.<br>F – Female.<br>X – Gender unspecified. |
| Rules          | 1. Must match classification.                       |

# A5 Residential postcode

| Description | The postcode of the employee's residential address.   |
|-------------|---|
|             | The postcode of the employee's mailing address may be substituted if the residential postcode is unavailable. |
| Purpose     | Required for NDS reporting (Item C5).   |
| Format      | Number (4).   |
| Rules       | 1. If the <i>Country of residence code</i> (A6) is 'AU' (Australia) then must be non-zero.                    |
|             | 2. If the Country of residence code (A6) is not 'AU'  |

(Australia) then must be null.3. If not null, then should be a valid postcode.

# A6 Country of residence code

| Description    | The country in which the employee resides.                               |
|----------------|--|
| Purpose        | Required for cross validation with the <i>Residential postcode</i> (A5). |
| Format         | Character (2).   |
| Classification | ISO 3166-1-alpha-2 code elements. Refer to Appendix A.1 for details.     |
| Rules          | 1. Must match classification.  |

# A7 Surname

| Description | The surname of the employee.        |
|-------------|-------------------------------------|
| Purpose     | Required for injured worker survey. |
| Format      | Character (30).                     |

# A8 Given names

| Description | The given name(s) of the employee.                                |
|-------------|---|
| Purpose     | Required for injured worker survey.                               |
| Format      | Character (30).   |
| Rules       | 1. If the Surname (A7) is null, then must be null.                |
|             | 2. If the <i>Surname</i> (A7) is not null, then must not be null. |

#### A9 Title

| Description | The preferred title of the employee (e.g. Mr, Mrs, Ms, Dr, Prof, etc). |
|-------------|--|
| Purpose     | Required for injured worker survey.                                    |
| Format      | Character (15).  |
| Rules       | 1. If the <i>Surname</i> (A7) is null, then must be null.              |

# A10 Potential adverse impact flag

| Description    | Identifies whether the claim manager perceives that the<br>employee's participation in the injured worker survey may<br>be detrimental to their health or wellbeing or the health or<br>wellbeing of others. |
|----------------|--|
| Purpose        | Required for injured worker survey.  |
| Format         | Character (1).   |
| Classification | Y – Yes.<br>N – No.  |
| Rules          | <ol> <li>If the <i>Surname</i> (A7) is null, then must be null.</li> <li>If the <i>Surname</i> (A7) is not null, then must not be null.</li> <li>If not null, then must match classification.</li> </ol>     |

# A11 Potential adverse impact date

| Description | The date the claim manager made the decision that the employee's participation in the injured worker survey may be detrimental to their health or wellbeing or the health or wellbeing of others (refer <i>Potential adverse impact flag</i> (A10)). |
|-------------|--|
| Purpose     | Required for injured worker survey.  |
| Format      | Date.  |
| Rules       | 1. If the <i>Potential adverse impact flag</i> (A10) is not 'Y', then must be null.  |
|             | 2. If the <i>Potential adverse impact flag</i> (A10) is 'Y', then must be not be null.   |
|             | <ol> <li>If not null, then must be between 1 January 1900 and<br/>31 December 2099.</li> </ol>   |

# A12 Home phone number

| Description | Home phone number of the employee.  |
|-------------|---|
|             | The home phone number should include the appropriate<br>area code and may be provided in any generally<br>recognised format (e.g. 0262345678, (02) 62345678, 02<br>6234 5678, etc). |
|             | If this information is not available "unknown" or similar may be entered.   |
| Purpose     | Required for injured worker survey.   |
| Format      | Character (15).   |
| Rules       | 1. If <i>Surname</i> (A7) is null, then must be null.   |

# A13 Mobile phone number

| Description | Mobile phone number of the employee.<br>The mobile phone number may be provided in any<br>generally recognised format (e.g. 0412345678, 0412<br>345678, 0412 345 678, etc). |
|-------------|---|
|             | If this information is not available "unknown" or similar may be entered.   |
| Purpose     | Required for injured worker survey.   |
| Format      | Character (15).   |
| Rules       | 1. If Surname (A7) is null, then must be null.  |
|             | 2. If <i>Surname</i> (A7) is not null and <i>Home phone number</i> (A12) is null, then must be not null.  |

# A14 Interpreter required flag

| Description    | Identifies whether an interpreter is required to facilitate communication with the employee.   |
|----------------|--|
| Purpose        | Required for injured worker survey.  |
| Format         | Character (1).   |
| Classification | Y – Yes.<br>N – No.  |
| Rules          | <ol> <li>If the <i>Surname</i> (A7) is null, then must be null.</li> <li>If the <i>Surname</i> (A7) is not null, then must not be null.</li> <li>If not null, then must match classification.</li> </ol> |

# A15 Preferred spoken language

| Description | Where an interpreter is required to facilitate communication with the employee (refer <i>Interpreter required flag</i> (A14)), the employee's preferred spoken language. |
|-------------|--|
| Purpose     | Required for injured workers survey.   |
| Format      | Character (20).  |
| Rules       | 1. If <i>Interpreter required flag</i> (A14) is 'Y', then must not be null.  |
|             | 2. If <i>Interpreter required flag</i> (A14) is not 'Y', then must be null.  |

# A16 Mailing address country code

| Description    | The country code of the employee's mailing address.                  |
|----------------|--|
| Purpose        | Required for injured worker survey.                                  |
| Format         | Character (2).   |
| Classification | ISO 3166-1-alpha-2 code elements. Refer to Appendix A.1 for details. |
| Rules          | 1. If the <i>Surname</i> (A7) is null, then must be null.            |
|                | 2. If the <i>Surname</i> (A7) is not null, then must not be null.    |
|                | 3. If not null, then must match classification.                      |

# A17 Mailing address post code

| Description | The post code of the employee's mailing address.   |
|-------------|--|
| Purpose     | Required for injured worker survey.  |
| Format      | Number (4).  |
| Rules       | <ol> <li>If the <i>Mailing address country code</i> (A16) is 'AU'<br/>(Australia), then must be non-zero.</li> </ol> |
|             | 2. If the <i>Mailing address country code</i> (A16) is not 'AU' (Australia), then must be null.                      |
|             | 3. If not null, then should be a valid postcode.   |

# A18 Mailing address suburb

| Description | The suburb of the employee's mailing address.  |
|-------------|--|
| Purpose     | Required for injured workers survey.   |
| Format      | Character (30).  |
| Rules       | <ol> <li>If the <i>Mailing address country code</i> (A16) is null, then<br/>must be null.</li> </ol>         |
|             | <ol> <li>If the <i>Mailing address country code</i> (A16) is not null,<br/>then must not be null.</li> </ol> |

#### A19 Mailing address line 1

| Description | The first address line of the employee's mailing address.                               |
|-------------|---|
| Purpose     | Required for injured workers survey.  |
| Format      | Character (30).   |
| Rules       | 1. If the <i>Mailing address country code</i> (A16) is null, then must be null.         |
|             | 2. If the <i>Mailing address country code</i> (A16) is not null, then must not be null. |

# A20 Mailing address line 2

| Description | The second address line of the employee's mailing address.                |
|-------------|---|
| Purpose     | Required for injured worker survey.                                       |
| Format      | Character (30).   |
| Rules       | 1. If the <i>Mailing address line 1</i> (A19) is null, then must be null. |

# A21 Mailing address line 3

| Description | The third address line of the employee's mailing address.                 |
|-------------|---|
| Purpose     | Required for injured worker survey.                                       |
| Format      | Character (30).   |
| Rules       | 1. If the <i>Mailing address line 2</i> (A20) is null, then must be null. |

# 4.2 Claim file data fields

This file lists claim occurrence and process details for all claims that are within the scope of the data warehouse (see Section 2.1).

## B1 Unique claim identifier

| Description | A reference that uniquely identifies each claim.  |
|-------------|---|
| Purpose     | Unique identifier for this record.  |
| Format      | Character.  |
| Rules       | 1. Must be unique for the file.   |
|             | 2. Should have at least one related record in the <i>CLMDET</i> file (based on <i>Claim identifier</i> (C1)).<br>Note: Future intent to reclassify this warning as an error when all licensees are able to comply.  |
|             | 3. Where the latest related <i>Claim determination Code</i> (C3) (based on <i>Claim identifier</i> (C1)) (ordered by <i>Date/time of determination status change</i> (C2)) is 'U' (undetermined) there should not be a <i>Claim determination Code</i> (C3) for this claim of either 'A' (accepted) or 'R' (rejected)'. |

# B2 Employee identifier

| Description | The Unique employee identifier (A1) that references the |
|-------------|---|
|             | employee who made the claim.                            |

- **Purpose** Relates claim to an individual employee.
- Format Character (15).
- **Rules** 1. Must reference a valid *Unique employee identifier* (A1).

# B3 Injury date

**Purpose** Required for NDS reporting (Item D1).

Format Date.

- Rules 1. For compliant claims, must be after the related *Birth date* (A2).
  - 2. Must be between 1 January 1900 and 31 December 2099.
  - 3. If claim is compliant the injured worker should be between 15 and 70 at time of injury (based on related *Birth date* (A2)).

#### B4 Nature of injury/disease code

| Description    | The most serious injury or disease sustained or sufferent the employee.                               |  |
|----------------|---|--|
|                | A null value may be provided for claims that have not yet been determined.                            |  |
|                | Compliant claims received on or after 1 July 2008 must comply with NDVS3 as defined at Appendix A.10. |  |
| Purpose        | Required for LKPIs, scheme performance and NDS reporting (Item D4).                                   |  |
| Format         | Number (3).   |  |
| Classification | TOOCS (Nature of Injury/Disease classification) – refer to Appendix A.9.                              |  |
| Rules          | 1. Must match classification.   |  |
|                | 2. If claim is compliant, must comply with TOOCS version rules as defined at Appendix A.9.            |  |
|                | 3. Must be present if the claim is determined.  |  |

# B5 Bodily location of injury/disease code

| Description    | The bodily location of the most serious injury or disease<br>suffered by the employee.<br>A null value may be provided for claims that have not yet<br>been determined.    |
|----------------|--|
|                |  |
| Purpose        | Required for NDS reporting (Item D5).  |
| Format         | Number (3).  |
| Classification | TOOCS (Bodily Location of Injury/Disease classification) – refer to Appendix A.9.  |
| Rules          | 1. Must match classification.  |
|                | 2. Must be present if the claim is determined.   |
|                | 3. If claim is complaint, and the <i>Received by claims processing date</i> (B21) is on or after 1 July 2008, then must comply with the NDVS3 as defined at Appendix A.10. |
|                |  |

4. If claim is compliant, then must comply with TOOCS version rules as defined at Appendix A.9.

#### B6 Mechanism of incident code

| Description    | The first preventable action, exposure or event that was<br>involved in the circumstances that led to the most serious<br>injury or disease suffered by the employee.<br>A null value may be provided for claims that have not yet<br>been determined. |  |
|----------------|--|--|
| Purpose        | Required for scheme performance and NDS reporting (Item D6).   |  |
| Format         | Number (2).  |  |
| Classification | TOOCS (Mechanism of Incident classification) – refer to Appendix A.9.  |  |
| Rules          | 1. Must match classification.  |  |
|                | 2. Must be present if the claim is determined.   |  |
|                | 3. If claim is complaint, and the <i>Received by claims processing date</i> (B21) is on or after 1 July 2008, then must comply with the NDVS3 as defined at Appendix A.10.   |  |
|                | 4. If claim is compliant, then must comply with TOOCS version rules as defined at Appendix A.9.  |  |

# B7 Agency of injury/disease code

| Description    | The object, substance or circumstance directly involved in inflicting the most serious injury or disease suffered by the employee.<br>A null value may be provided for claims that have not yet been determined.                           |
|----------------|--|
| Purpose        | Required for NDS reporting (Item D7).  |
| Format         | Number (4).  |
| Classification | TOOCS (Agency of Injury/Disease classification) – refer to Appendix A.9.   |
| Rules          | 1. Must match classification.  |
|                | 2. Must be present if the claim is determined.   |
|                | <ol> <li>If claim is complaint, and the <i>Received by claims</i><br/>processing date (B21) is on or after 1 July 2008, then<br/>must comply with the NDVS3 as defined at Appendix<br/>A.10 (validation not currently applied).</li> </ol> |
|                | 4. If claim is compliant, then must comply with TOOCS  |

version rules as defined at Appendix A.9.

#### B8 Breakdown agency of injury/disease code

**Description** The object, substance or circumstance that was principally involved in, or most closely associated with, the point at which things started to go wrong and which ultimately led to the most serious injury or disease suffered by the employee.

A null value may be provided for claims that have not yet been determined.

- **Purpose** Required for NDS reporting (Item D8).
- Format Number (4).
- **Classification** TOOCS (Agency of Injury/Disease classification) refer to Appendix A.9.

Rules

- Must match classification.
  - 2. Must be present if the claim is determined.
  - 3. If claim is complaint and the *Received by claims processing date* (B21) is on or after 1 July 2008, then must comply with the NDVS3 as defined at A.9 (validation not currently applied).
  - 4. If claim is compliant, then must comply with TOOCS version rules as defined at Appendix A.9.

|  | B9 ( | Occupation | code |
|--|------|------------|------|
|--|------|------------|------|

| Description    | The occupation of the employee at the time of injury or experiencing the exposure that resulted in the occupational disease.   |
|----------------|--|
|                | If the occupation at the time of the exposure that resulted<br>in an occupational disease is not clear, the occupation at<br>the time the disease was first reported to the employer<br>may be provided. |
|                | A null value may be provided for claims that have not yet been determined.   |
|                | Codes may be supplied at either the unit group level (4-<br>digit level with two trailing zeros) or at the occupation<br>group level (6-digit level).  |
| Purpose        | Required for NDS reporting (Item C6).  |
| Format         | Number (6).  |
| Classification | Australian and New Zealand Standard Classification of<br>Occupations, First Edition, 2006 (ANZSCO) – ABS Cat.<br>No. 1220.0.   |
| Rules          | <ol> <li>Must match classification.</li> <li>Must be present if the claim is determined.</li> </ol>  |

#### B10 Duty status code

| Description | The duty status of the employee at the time of injury or    |
|-------------|---|
|             | experiencing the exposure that resulted in the occupational |
|             | disease.  |

If the duty status at the time of the exposure that resulted in an occupational disease is not clear, the duty status at the time the disease was first reported to the employer may be provided.

A null value may be provided for claims that have not yet been determined.

- **Purpose** Required for LKPIs, scheme performance and NDS reporting (Item C7).
- Format Number (2)
- **Classification** Refer to Appendix A.2.

#### **Rules** 1. Must match classification.

- 2. Must be present if the claim is determined.
- 3. If the related *Determination status code* (C3) is 'A' (Accepted) then must not be '99' (Not applicable).

#### B11 Workplace industry code

| Description    | The main activity of the establishment at which the injury occurred or at which the exposure resulting in the occupational disease was experienced.<br>For incidents that occurred while the injured worker was |  |
|----------------|---|--|
|                | travelling to or from work (i.e. <i>Duty status code</i> (B10) is<br>'04') then the industry of the employer should be provided.  |  |
|                | A null value may be provided for claims that have not yet been determined.  |  |
| Purpose        | Required for NDS reporting (Item D2).   |  |
| Format         | Number (4).   |  |
| Classification | Australian and New Zealand Standard Industrial<br>Classification (ANZSIC), 2006 – ABS Cat. No. 1292.0.  |  |
| Rules          | 1. Must match classification.   |  |
|                | 2. Must be present if the claim is determined and the <i>Received by claims processing date</i> (B21) is on or after 1 July 2005.   |  |

# B12 Workplace postcode

| Description | The physical postcode of the workplace at which the employee was injured or experienced the exposure resulting in the occupational disease. |
|-------------|---|
|             | For injuries or exposures occurring overseas or on any form of transport, this field should be null.  |
| Purpose     | Required for NDS reporting (Item D3).   |
| Format      | Number (4).   |
| Rules       | 1. If not null then should be a valid Australian postcode.  |

# B13 Labour hire flag

| Description    | Indicates whether the employee was working through a labour hire agency at the time of injury or experiencing the exposure that resulted in the occupational disease.   |
|----------------|---|
|                | A labour hire agency is a firm that receives commission<br>from a client firm in return for supplying labour to that client<br>for a limited period. A labour hire worker may also be<br>referred to as a temp, on-hired worker or agency worker. |
|                | If it is not clear whether the employee was working through<br>a labour hire firm at the time of the exposure that resulted in<br>an occupational disease, this field should be set to 'N' (No).  |
| Purpose        | Required for NDS reporting (Item C10).  |
| Format         | Character (1).  |
| Classification | Y – Yes.<br>N – No.   |
| Rules          | 1. Must match classification.   |

## B14 Apprentice/trainee flag

| Description    | Indicates whether the employee was an apprentice or trainee at the time of injury or experiencing the exposure that resulted in the occupational disease.                           |
|----------------|---|
|                | If it is not clear whether the employee was an apprentice or<br>trainee at the time the exposure that resulted in an<br>occupational disease, this field should be set to 'N' (No). |
| Purpose        | Required for NDS reporting (Item C11).  |
| Format         | Character (1).  |
| Classification | Y – Yes.<br>N – No.   |
| Rules          | 1. Must match classification.   |

## B15 RTW status code

| Description    | The latest RTW status of the employee.  |
|----------------|---|
|                | A null value may be provided for claims that have not yet been determined.  |
| Purpose        | Required for NDS reporting (Item B5).   |
| Format         | Character (2).  |
| Classification | Refer to Appendix A.3.  |
| Rules          | 1. Must match classification.   |
|                | <ol> <li>Must be present if the claim is determined and the<br/>Received by claims processing date (B21) is on or after<br/>1 July 2005.</li> </ol> |

#### **B16** Determined condition

| Description | The condition(s) for which liability has been accepted or, in the case of claims for which liability has not been accepted, the condition(s) claimed by the employee. |
|-------------|---|
| Purpose     | Assists conversion between TOOCS versions.  |
| Format      | Character (160).  |

### B17 Claim status code

| Description    | The latest claim status.                 |
|----------------|--|
| Purpose        | To be included in employer data reports. |
| Format         | Character (1).                           |
| Classification | O – Open.<br>C – Closed.                 |
| Rules          | 1. Must match classification.            |

#### B18 Claim status date

| Description | The date the claim status was last changed.             |
|-------------|---|
| Purpose     | To be included in employer data reports.                |
| Format      | Date.   |
| Rules       | 1. Must be between 1 January 1900 and 31 December 2099. |

## B19 Claimant signed date

| Description | The date the claim form was signed by the claimant.<br>A null value may be provided for claims that are not yet<br>compliant.   |
|-------------|---|
| Purpose     | To be included in employer data reports. Assists data validation.   |
| Format      | Date.   |
| Rules       | 1. Must be between 1 January 1900 and 31 December 2099.   |
|             | 2. If not null, if claim is compliant and <i>Received by claims processing date</i> (B21) is on or after 1 January 1997 then must be on or after the <i>Injury date</i> (B3). |

## B20 Received by employer date

| Description | The date the claim was received by the employer.  |
|-------------|---|
| Purpose     | Required for scheme performance and NDS reporting (Item B3).  |
| Format      | Date.   |
| Rules       | <ol> <li>Must be between 1 January 1900 and 31 December 2099.</li> <li>If claim is compliant and <i>Received by claims processing date</i> (B21) is on or after 1 January 1997 then must be on or after the <i>Injury date</i> (B3).</li> </ol> |

## B21 Received by claims processing date

| Description | The date the claim was received by the relevant claims processing body.  |
|-------------|--|
| Purpose     | Required for scheme performance and NDS reporting (Item B4).   |
| Format      | Date.  |
| Rules       | 1. Must be between 1 January 1900 and 31 December 2099.  |
|             | 2. If claim is compliant and this date is on or after 1<br>January 1997 then should be on or after the <i>Received</i><br><i>by employer date</i> (B20). |

### B22 Death due to claim flag

| Description    | A flag that indicates whether the employee died as a result of the claimed injury/disease. |
|----------------|--|
| Purpose        | Required for LKPIs, scheme performance and NDS reporting (Item E2).                        |
| Format         | Character (1).   |
| Classification | Y – Yes.<br>N – No.  |
| Rules          | 1. Must match classification.  |

## B23 Outstanding liability case estimate amount

| Description | Estimate of outstanding costs to be paid on the claim.   |
|-------------|--|
|             | To differentiate between zero dollar amounts and null values, null values are to be space filled.                  |
| Purpose     | To be included in employer data reports.   |
| Format      | Number (S10.2).  |
| Rules       | <ol> <li>May be a negative amount only when <i>Third party</i><br/>recoveries amount (B32) is &gt;zero.</li> </ol> |

### B24 Total liability case estimate amount

| Description | Estimate of the total costs to be paid on the claim (i.e. cost paid to date plus outstanding liability case estimate). |
|-------------|--|
|             | To differentiate between zero dollar amounts and null values, null values are to be space filled.                      |
| Purpose     | To be included in employer data reports.   |
| Format      | Number (11.2).   |

### B25 Case estimate date

| Description | The date on which the case estimate amounts at B23 and B24 were calculated. |
|-------------|---|
| Purpose     | To be included in employer data reports.                                    |
| Rules       | 1. Must be between 1 January 1900 and 31 December 2099.                     |
|             | 2. Must be on or after the <i>Received by claims processing date</i> (B21). |

## B26 Normal weekly hours

| Description | The hours component of the total hours and minutes<br>usually worked by the employee each week prior to injury.<br>This includes overtime that is regular and required. |
|-------------|---|
|             | For example, if the employee usually worked 37.5 hours each week this field would contain '037'.  |
| Purpose     | Required for NDS reporting (Item C8).   |
| Format      | Number (3).   |
| Rules       | 1. Must be in the range 0 to 168 inclusive.   |

### B27 Normal weekly minutes

| Description | The minutes component of the number of hours and<br>minutes usually worked by the employee each week prior<br>to injury. This includes overtime that is regular and<br>required. |
|-------------|--|
|             | For example, if the employee usually worked 37.5 hours each week this field would contain '30'.  |
| Purpose     | Required for NDS reporting (Item C8).  |
| Format      | Number (2).  |
| Rules       | 1. Must be in the range 0 to 59 inclusive.   |

## B28 Normal weekly earnings

| Description | The employee's initial normal weekly earnings calculated according to Section 8 of the SRC Act for the purpose of calculating weekly benefits. |
|-------------|--|
|             | Where the normal weekly earnings is unavailable in relation to a claim this field should be set to zero.                                       |
| Purpose     | Required for NDS reporting (Item C9).  |
| Format      | Number (11.2).   |

## B29 Liable employer number

| Description    | A reference that identifies the employer liable for the claim.                                |
|----------------|---|
| Purpose        | Relates claim to the liable employer. Required for LKPIs and NDS reporting (Items C1 and C2). |
| Format         | Number (8).   |
| Classification | This identifier will be supplied by Comcare.  |
| Rules          | <ol> <li>Must match the employer number allocated by<br/>Comcare.</li> </ol>                  |
|                | 2. Must be a valid Comcare employer number.   |

### B30 Liable cost centre number

| Description | The Cost centre number (D2) that references the cost centre liable for the claim. |
|-------------|---|
| Purpose     | Relates claim to the liable cost centre. To be included in employer data reports. |
| Format      | Number (6).   |
| Rules       | 1. Must reference a valid <i>Cost centre number</i> (D2).                         |
|             | 2. If claim is compliant then must be present.                                    |

### B31 Payroll cost centre number

| Description | The Cost centre number (D2) that references the cost centre paying the claim.      |
|-------------|--|
| Purpose     | Relates claim to the payroll cost centre. To be included in employer data reports. |
| Format      | Number (6).  |
| Rules       | 1. Must reference a valid Cost centre number (D2).                                 |

## **B32** Third party recoveries amount

|     | Description           | The total amount of third party recoveries received in relation to the claim.                         |
|-----|-----------------------|---|
|     | Purpose               | Required for NDS reporting (claim payment details).   |
|     | Format                | Number (11.2).  |
|     | Rules                 | 1. Must be >= zero.   |
| B33 | 3 Takeover claim flag |   |
|     | Description           | Indicates whether the determining authority has taken over liability for the claim from another body. |
|     |                       | This field may not be applicable to all licensees.  |
|     | Purpose               | Required for LKPIs, scheme performance and NDS reporting.   |
|     | Format                | Character (1).  |

**Classification** Y – Yes.

N – No.

**Rules** 1. Must match classification.

### B34 Incapacity adjustment weeks

| Description | The number of incapacity weeks determined in relation to the claim but not included in the related Incapacity file.  |
|-------------|--|
|             | For example, Comcare's current claims database records<br>the total weeks' incapacity determined on each claim prior<br>to the implementation of that database, rather than each<br>individual incapacity determination. This total is reported to<br>the data warehouse in this field and added to any<br>subsequent records in relation to individual incapacity<br>determinations, reported in the INCAP file, to determine the<br>total weeks lost in relation to the claim. This field may not<br>be applicable to all licensees. |
| Purpose     | Required for LKPIs, scheme performance and NDS reporting (Item E1), if applicable to the determining authority.  |
| Format      | Number (10.8).   |

## B35 Nominated representative's name

| Description | If applicable, the name of the person nominated to act on<br>behalf of the employee. For example a legal<br>representative, friend or family member. This contact is not<br>intended to be an employer representative. |
|-------------|--|
| Durmana     | Dequired for injured worker our way  |

PurposeRequired for injured worker survey.

Format Character (50).

### B36 Nominated representative's phone number

| Description | If applicable, the phone number of the person nominated to act on behalf of the employee.   |
|-------------|---|
|             | The phone number should include the appropriate area code, if applicable, and may be provided in any generally recognised format (e.g. 0262345678, (02) 6234 5678, 0412345678, 0412 345 678 etc). |
| Purpose     | Required for injured worker survey.   |
| Format      | Character (15).   |
| Rules       | <ol> <li>If Nominated representative's name (B35) is null, then<br/>must be null.</li> </ol>  |
|             | <ol> <li>If Nominated representative's name (B35) is not null,<br/>then must not be null.</li> </ol>  |

## 4.3 Claim determination file data fields

This file details all changes in claim determination status over the life of a claim for all claims reported to the data warehouse.

For example, if a claim is rejected and a subsequent reconsideration upholds this decision, but the claim is accepted following an appeal to the AAT, the Claim determination file will include three records for this claim. The first of these records reports the claim as undetermined, the second record reports the rejected claim following the initial determination of liability and finally, the accepted claim following the AAT appeal is reported.

### C1 Claim identifier

| Description | The <i>Unique claim identifier</i> (B1) that references the claim to which the determination applies.   |
|-------------|---|
| Purpose     | Unique identifier for this record in combination with the <i>Date/time of determination status change</i> (C2). Relates determination to an individual claim. |
| Format      | Character (15).   |
| Rules       | 1. Must reference a valid Unique claim identifier (B1).   |

### C2 Date/time of determination status change

| Description | The date and time at which the claim determination status was changed.                                   |
|-------------|--|
| Purpose     | Unique identifier for this record in combination with the <i>Claim identifier</i> (C1).                  |
|             | Specifies the chronological sequence of determinations.  |
|             | Required for LKPIs, scheme performance and NDS reporting (Item B2).                                      |
| Format      | Timestamp.   |
| Rules       | 1. Must be unique for the claim.   |
|             | <ol> <li>Must be on or after the related <i>Received by claims</i><br/>processing date (B21).</li> </ol> |

## C3 Determination status code

| Description    | The determination status of the claim.   |
|----------------|--|
| Purpose        | Required for LKPIs and NDS reporting (Item B1).<br>Withdrawn (W) should only be used where the claim is yet<br>to be determined and notification to withdraw the claim is<br>received. |
| Format         | Character (1).   |
| Classification | <ul> <li>A – Accepted.</li> <li>D – Deleted.</li> <li>N – Non-compliant.</li> <li>R – Rejected.</li> <li>U – Undetermined.</li> <li>W – Withdrawn.</li> </ul>                          |
| Rules          | 1. Must match classification.  |

### C4 Determination reason code

| Description    | The determination reason for claim acceptance / rejection for claims determined post 1 July 2017.   |
|----------------|---|
| Purpose        | Required for scheme analysis.   |
| Format         | Number (4).   |
| Classification | Refer to Appendix A.8.  |
| Rules          | <ol> <li>For Date/time of determination status change (C2) post 1<br/>July 2017 and Determination Status Code (C3) is 'A'<br/>(Accepted) or 'R' (Rejected) then must match<br/>classification.</li> </ol> |
|                | <ol><li>Must be space filled or left blank where not required to<br/>match classification.</li></ol>  |

## 4.4 Cost centre file data fields

This file contains details of each cost centre defined by the determining authority for data warehouse reporting (see Appendix B for further detail).

### D1 Employer identifier

| Description    | A reference that identifies the employer to which the cost centre relates.                |
|----------------|---|
| Purpose        | Unique identifier for this record in combination with the <i>Cost centre number</i> (D2). |
|                | Relates cost centre to an individual employer.  |
| Format         | Number (8).   |
| Classification | This identifier will be supplied by Comcare.  |
| Rules          | <ol> <li>Must match the employer number allocated by<br/>Comcare.</li> </ol>              |
|                | 2. Must be a valid Comcare employer number.   |

### D2 Cost centre number

| Description | A reference that uniquely identifies each cost centre within an employer.                  |
|-------------|--|
| Purpose     | Unique identifier for this record in combination with the <i>Employer identifier</i> (D1). |
| Format      | Number (6).  |
| Rules       | <ol> <li>Must be unique for the employer.</li> <li>Must not be zero.</li> </ol>            |

### D3 Cost centre name

| Description | The name of the cost centre.                         |
|-------------|--|
| Purpose     | Identifies the cost centre in employer data reports. |
| Format      | Character (50).                                      |

### D4 Cost centre short name

| Description | The abbreviated name of the cost centre.             |
|-------------|--|
| Purpose     | Identifies the cost centre in employer data reports. |
| Format      | Character (12).                                      |

## D5 Cost centre active flag

| Description    | A flag that indicates whether or not the cost centre is currently active. |
|----------------|---|
| Purpose        | Identifies active cost centres for display in employer data reports.      |
| Format         | Character (1).  |
| Classification | <sup>−</sup> − Yes.<br>I − No.  |
| Rules          | 1. Must match classification.   |

## 4.5 Employer structure file data fields

This file lists each cost centre structure defined by the determining authority for data warehouse reporting. For example, a cost centre structure may group individual cost centres by geographical location or business function (see Appendix B for further detail).

If the determining authority does not wish to view data for different cost centre structures through the data warehouse, this file may be empty. In this case, the Related cost centre file must also be empty.

### E1 Employer identifier

| Description    | A reference that identifies the employer to which the cost centre structure relates.  |
|----------------|---|
| Purpose        | Unique identifier for this record in combination with the <i>Cost centre structure number</i> (E2).<br>Relates cost centre structure to an individual employer. |
| Format         | Number (8).   |
| Classification | This identifier will be supplied by Comcare.  |
| Rules          | <ol> <li>Must match the employer number allocated by Comcare.</li> <li>Must be a valid Comcare employer number.</li> </ol>                                      |

#### E2 Cost centre structure number

| Description | A reference that uniquely identifies each cost centre structure within an employer.        |
|-------------|--|
| Purpose     | Unique identifier for this record in combination with the <i>Employer identifier</i> (E1). |
| Format      | Number (2).  |
| Rules       | <ol> <li>Must be unique for the employer.</li> <li>Must not be zero.</li> </ol>            |

3. Must have at least one related record in the *RELCC file* (based on *Cost centre structure number* (F2)).

## E3 Cost centre structure name

| Description | The name of the cost centre structure.                         |
|-------------|--|
| Purpose     | Identifies the cost centre structure in employer data reports. |
| Format      | Character (40)   |

## 4.6 Related cost centre file data fields

This file details the position in each cost centre structure for all cost centres within that structure and all cost centre structures defined by the determining authority for data warehouse reporting. A cost centre's position within a structure is specified by that cost centre's level within the structure and its parent cost centre (see Appendix B for further detail).

If the determining authority does not wish to view data for different cost centre structures through the data warehouse, this file may be empty. In this case, the Employer structure file must also be empty.

### F1 Employer identifier

| Description    | A reference that identifies the employer for which the cost centre relationship is defined.  |
|----------------|--|
| Purpose        | Unique identifier for this record in combination with the <i>Cost centre structure number</i> (F2) and the <i>Cost centre number</i> (F3). |
|                | Relates the cost centre relationship details to an individual employer.  |
| Format         | Number (8).  |
| Classification | This identifier will be supplied by Comcare.   |
| Rules          | <ol> <li>Must match the employer number allocated by Comcare.</li> <li>Must be a valid Comcare employer number.</li> </ol>                 |

#### F2 Cost centre structure number

| Description | The Cost centre structure number (E2) that references the structure to which the cost centre relationship details apply.          |
|-------------|---|
| Purpose     | Unique identifier for this record in combination with the <i>Employer identifier</i> (F1) and the <i>Cost centre number</i> (F3). |
|             | Relates the cost centre relationship details to a specific cost centre structure.   |
| Format      | Number (2).   |
| Rules       | 1. Must reference a valid <i>Cost centre structure number</i> (E2).   |

### F3 Cost centre number

| Description | The <i>Cost centre number</i> (D2) that references the cost centre to which the cost centre relationship details apply.   |
|-------------|---|
| Purpose     | Unique identifier for this record in combination with the<br>Employer identifier (F1) and the <i>Cost centre structure number</i><br>(F2).<br>Relates the cost centre relationship details to a specific cost |
|             | centre.   |
| Format      | Number (6).   |
| Rules       | <ol> <li>Must reference a valid <i>Cost centre number</i> (D2).</li> <li>Must be unique for the employer and structure number.</li> </ol>   |

### F4 Level in cost centre structure

| Description | The cost centre's level in the cost centre structure.   |
|-------------|---|
|             | The top level of a cost centre structure is level 1. A cost centre structure may have up to 9 levels.                       |
| Purpose     | Together with the <i>Parent cost centre number</i> (F5) identifies the cost centre's position in the cost centre structure. |
| Format      | Number (1).   |
| Rules       | 1. Must be in the range 1 to 9 inclusive.   |

#### F5 Parent cost centre number

| Description | The cost centre number as specified in the Cost centre file of the cost centre's parent in the cost centre structure. |
|-------------|---|
| Purpose     | Together with the <i>Level in structure</i> (F4) identifies the cost centre's position in the cost centre structure.  |
| Format      | Number (6).   |
| Rules       | 1. Must be null if <i>Level in structure</i> (F4) is 1.   |
|             | 2. Must not be null if <i>Level in structure</i> (F4) is greater than 1.  |
|             | 3. Must reference a valid Cost centre number (D2).  |

## 4.7 Incapacity file data fields

This file details all incapacity determinations over the life of a claim for all claims reported to the data warehouse.

A period of incapacity may be accepted and subsequently voided. This is viewed as a single incapacity determination with a current status of void and is therefore represented by a single record in the Incapacity file. It is expected that the *Incapacity determination code* (G4) for such a record would be updated from 'A' to 'V'; the *Incapacity determination date* (G5) would be updated to reflect the date the determination was voided; and the *Original determination date* (G8) would be entered to reflect the date the incapacity was originally accepted. Since this action updates the record that was generated when the incapacity was originally accepted, the *Unique incapacity determination identifier* (G1) does not change. The remainder of fields in the record also remain unchanged.

Please note that reinsurance reimbursements are not reportable to the CDW.

### G1 Unique incapacity determination identifier

| Description | A reference that uniquely identifies each incapacity determination. |
|-------------|---|
| Purpose     | Unique identifier for this record.                                  |
| Format      | Character (15).   |
| Rules       | 1. Must be unique for the file.                                     |

### G2 Claim identifier

| Description | The <i>Unique claim identifier</i> (B1) that references the claim to which the incapacity determination relates. |
|-------------|--|
| Purpose     | Relates determination to an individual claim.  |
| Format      | Character (15).  |
| Rules       | 1. Must reference a valid Unique claim identifier (B1).  |

# G3 Incapacity SRC Act reference code

| Description    | Indicates the section, subsection and paragraph of the SRC Act under which the determination was made.                                  |
|----------------|---|
|                | A null value may be provided for periods of incapacity that have not been accepted.   |
| Purpose        | Required NDS reporting (claim payments).  |
| Format         | Number (3).   |
| Classification | See Appendix A.4.   |
| Rules          | 1. Must match classification.   |
|                | <ol> <li>If the related <i>Incapacity</i> determination code (G4) is 'A'<br/>(Accepted) or 'V' (Void) then must not be null.</li> </ol> |

## G4 Incapacity determination code

| Description    | The outcome of the incapacity determination.  |
|----------------|---|
| Purpose        | Required for LKPIs, scheme performance and NDS reporting (Item E1).   |
|                | Withdrawn (W) should only be used where the period of incapacity is yet to be determined and notification to withdraw the period of incapacity is received. |
| Format         | Character (1).  |
| Classification | A – Accepted.   |
|                | D – Deleted.  |
|                | R – Rejected.   |
|                | U – Undetermined.   |
|                | V – Void.   |
|                | W – Withdrawn.  |
| Rules          | 1. Must match classification.   |

# G5 Incapacity determination date

| Description | The date the formal incapacity determination was made.   |
|-------------|--|
|             | Note: this date should match that on the formal incapacity determination notification sent to the injured worker.  |
| Purpose     | Required for LKPIs, scheme performance and NDS reporting (claim payments).   |
| Format      | Date.  |
| Rules       | 1. Must be between 1 January 1900 and 31 December 2099.  |
|             | 2. If the <i>Incapacity determination code</i> (G4) is 'A' (Accepted) and this date is on or after 1 January 1997, then must be on or after the related <i>Received by claims processing date</i> (B21). |
|             | <ol> <li>If the Incapacity determination code (G4) is 'A'<br/>(Accepted), 'R' (Rejected) or 'V' (Voided), then must be<br/>present.</li> </ol>   |

# G6 Total liability amount

| Description | The total amount to be paid in compensation in relation to the incapacity determination. |
|-------------|--|
| Purpose     | Required for NDS reporting (claim payments).   |
| Format      | Number (11.2).   |
| Rules       | May be a negative amount only when <i>Third party recoveries amount</i> (B32) is >zero.  |

### G7 Incapacity weeks

**Description** The total weeks the employee lost from work in relation to the incapacity determination.

The incapacity weeks should be calculated relative to the employee's normal weekly hours. For example, if the employee normally works 20 hours per week and loses 30 hours of work during the determined incapacity period, then this field should report that the employee lost 1.5 weeks of work in the reporting period.

This field should be null for dependent payments determined under section 17(5) of the SRC Act or garnished wages determined under section 113 of the SRC Act.

**Purpose** Required for LKPIs, scheme performance and NDS reporting (Item E1).

Format Number (10.5).

### G8 Original determination date

| Description | In the case of a voided incapacity determination, the date the original incapacity determination was made.   |
|-------------|--|
| Purpose     | Required for LKPIs, scheme performance and NDS reporting (Item E1 and claim costs).  |
| Format      | Date.  |
| Rules       | <ol> <li>Must be between 1 January 1900 and 31 December 2099.</li> <li>If the <i>Incapacity determination code</i> (G4) is not 'V' (Void) then must be null.</li> <li>If the <i>Incapacity determination code</i> (G4) is 'V' (void) then must be present.</li> <li>If present, then must be on or before the <i>Incapacity determination date</i> (G5).</li> <li>If this date is on or after 1 January 1997, then must be on or after the related <i>Received by claims processing date</i> (B21).</li> </ol> |

## **G9** Incapacity start date

| Description | The start date of the period to which the incapacity determination relates.  |
|-------------|--|
| Purpose     | Required to chronologically order incapacity determinations for the calculation of LKPIs, scheme performance and NDS reporting.  |
| Format      | Date.  |
| Rules       | <ol> <li>If the <i>Incapacity determination code</i> (G4) is 'A' (Accepted)<br/>then must be between 1 January 1900 and 31 December<br/>2099.</li> </ol>                         |
|             | 2. If the <i>Incapacity determination code</i> (G4) is 'A' (Accepted) and this date is on or after 1 January 1997, then must be on or after the related <i>Injury date</i> (B3). |

## G10 Incapacity end date

| Description | The end date of the period to which the incapacity determination relates.  |
|-------------|--|
| Purpose     | Required to chronologically order incapacity determinations for the calculation of LKPIs, scheme performance and NDS reporting.                          |
| Format      | Date.  |
| Rules       | <ol> <li>If the <i>Incapacity determination code</i> (G4) is 'A' (Accepted)<br/>then must be between 1 January 1900 and 31 December<br/>2099.</li> </ol> |
|             | 2. Must be on or after the <i>Incapacity start date</i> (G9).  |

## G11 Full shift lost flag

| Description    | Indicates whether the incapacity period contains at least one full day or shift lost from work. |
|----------------|---|
| Purpose        | Assists data validation.  |
| Format         | Character (1).  |
| Classification | Y – Yes.  |
|                | N – No.   |
|                | Null if not applicable.   |
| Rules          | 1. Must match classification.   |

### G12 Actual lost hours

| Description | The hours component of the total hours and minutes the employee lost from work in relation to the incapacity determination. |
|-------------|---|
|             | For example, if the determination related to 22.5 hours lost from work, this field would contain '00022'.                   |
| Purpose     | Required for NDS reporting (Item E1).   |
| Format      | Number (5).   |

### G13 Actual lost minutes

| Description | The minutes component of the total hours and minutes the employee lost from work in relation to the incapacity determination. |
|-------------|---|
|             | For example, if the determination related to 22.5 hours lost from work, this field would contain '30'.                        |
| Purpose     | Required for NDS reporting (Item E1).   |
| Format      | Number (2).   |
| Rules       | 1. Must be in range 00 to 59 inclusive.   |

## G14 Determination normal weekly hours

| Description | The hours component of the normal weekly hours and minutes worked by the employee on which the incapacity determination is based. |
|-------------|---|
|             | For example, if the determination related to 22.5 normal weekly hours, this field would contain '022'.                            |
| Purpose     | Required for NDS reporting (Item E1).   |
| Format      | Number (3).   |

### G15 Determination normal weekly minutes

| Description | The minutes component of the normal weekly hours and<br>minutes worked by the employee on which the incapacity<br>determination is based. |
|-------------|---|
|             | For example, if the determination related to 22.5 normal weekly hours, this field would contain '30'.                                     |
| Purpose     | Required for NDS reporting (Item E1).   |
| Format      | Number (2).   |
| Rules       | 1. Must be in range 00 to 59 inclusive.   |

### G16 Hours worked code

| Description    | Indicates the proportion of the employee's normal weekly hours that he/she is employed during the related incapacity period.                                    |
|----------------|---|
|                | This equates to the percentage that would be used to calculate an adjustment percentage for the calculation of compensation under section 19(3) of the SRC Act. |
| Purpose        | Assists data validation.  |
| Format         | Character (1).  |
| Classification | A – Zero hours worked.  |
|                | B – > zero and <= 25%.  |
|                | C – > 25% and <= 50%.   |
|                | D – > 50% and <=75%.  |
|                | E – > 75% and <100%.  |
|                | F – 100%.   |
|                | G – Not applicable.   |
| Rules          | 1. Must match classification.   |

## G17 Incapacity start time

| Description    | The start time of the period to which the incapacity determination relates. |
|----------------|---|
| Purpose        | Assists data validation.  |
| Format         | Number (4).   |
| Classification | HHMM (24 hour format).  |

### G18 Incapacity end time

| Description    | The end time of the period to which the incapacity determination relates. |
|----------------|---|
| Purpose        | Assists data validation.  |
| Format         | Number (4).   |
| Classification | HHMM (24 hour format).  |

## 4.8 Reconsideration file data fields

This file details each reconsideration request over the life of a claim for all claims reported to the data warehouse.

### H1 Unique reconsideration identifier

| Description | A reference that uniquely identifies each request for reconsideration. |
|-------------|--|
| Purpose     | Unique identifier for this record.                                     |
| Format      | Character (15).  |
| Rules       | 1. Must be unique for the file.  |

#### H2 Claim identifier

| Description | The <i>Unique claim identifier</i> (B1) that references the claim to which the reconsideration relates. |
|-------------|---|
| Purpose     | Relates the reconsideration to an individual claim.   |
| Format      | Character (15).   |
| Rules       | 1. Must reference a valid Unique claim identifier (B1).   |

### H3 Reconsideration request received date

| Description | The date the compliant request for reconsideration was     |
|-------------|--|
|             | received by claims management, irrespective of whether all |
|             | necessary evidence is to hand.                             |

- PurposeRequired for LKPIs, scheme performance and NDS reporting<br/>(Items L2 and L3).
- Format Date.
- **Rules** 1. Must be between 1 January 1900 and 31 December 2099.
  - 2. If this date is on or after 1 January 1997, then must be on or after the related *Received by claims processing date* (B21).

## H4 Reconsideration initiator code

| Description    | A code that identifies the party that initiated the reconsideration.        |
|----------------|---|
| Purpose        | Required for LKPIs, scheme performance and NDS reporting (Items L2 and L3). |
| Format         | Character (1).  |
| Classification | C – Claimant.<br>E – Employer.<br>S – Self (determining authority).         |
| Rules          | 1. Must match classification.   |

## H5 Reconsideration issue code

| Description    | A code that identifies the issue on which the reconsideration is requested.   |
|----------------|---|
|                | Where the reconsideration request encompasses more than one issue the primary issue should be reported.   |
|                | Prior to 1 July 2013, this was an optional field. Licensees are<br>not required to retrospectively collect this data item for<br>reconsiderations received prior to this date and a null value<br>may be provided for earlier records where data is not<br>available. |
| Purpose        | To be included in employer data reports.  |
| Format         | Character (2).  |
| Classification | Refer to Appendix A.5.  |
| Rules          | 1. If not null, then must match classification.   |
|                | 2. If the <i>Reconsideration request received date</i> (H3) is on or after 1 July 2013, then must not be null.  |

## H6 Reconsideration decision date

| Description | The date on which a decision in writing was made to affirm, vary or revoke the original determination or the date the reconsideration request was registered as withdrawn. |
|-------------|--|
|             | A null value should be provided for undecided reconsideration requests.  |
| Purpose     | Required for LKPIs, scheme performance and NDS reporting (Item L3).  |
| Format      | Date.  |
| Rules       | 1. Must be between 1 January 1900 and 31 December 2099.  |
|             | <ol> <li>If not null, then must be on or after the <i>Reconsideration</i><br/>request received date (H3).</li> </ol>   |

### H7 Reconsideration decision code

| Description    | A code that identifies the outcome of the reconsideration.   |
|----------------|--|
|                | A null value should be provided for undecided reconsideration requests.  |
|                | Withdrawn (W) should only be used where the reconsideration is yet to be decided and notification to withdraw the request for reconsideration is received. |
| Purpose        | Required for LKPIs and scheme performance reporting.   |
| Format         | Character (1).   |
| Classification | A – Affirm.  |
|                | V – Vary.  |
|                | R – Revoke.  |
|                | W – Withdrawn.   |
| Rules          | 1. Must match classification.  |
|                | 2. If the <i>Reconsideration decision date</i> (H6) is null, then must be null.  |
|                | <ol> <li>If the <i>Reconsideration decision date</i> (H6) is not null, then<br/>must not be null.</li> </ol>   |

# H8 Appeal identifier

| Description | Where the reconsideration outcome is subsequently appealed, the <i>Unique appeal identifier</i> (I1) that references this appeal. |
|-------------|---|
| Purpose     | Relates reconsideration to any subsequent appeal.   |
| Format      | Character (15).   |
| Rules       | 1. Must reference a valid Unique appeal identifier (I1).  |

## 4.9 Appeal file data fields

This file details each appeal to the AAT over the life of a claim for all claims reported to the data warehouse.

### I1 Unique appeal identifier

| Description | A reference assigned by the claim manager that uniquely identifies each appeal to the AAT.  |
|-------------|---|
| Purpose     | Unique identifier for this record.  |
| Format      | Character (15).   |
| Rules       | 1. Must be unique for the file.   |
|             | <ol> <li>If Appeal received date (I3) is on or after 1 January 1997<br/>then should be referenced in the Reconsideration file data<br/>field Appeal identifier (H8).</li> </ol> |

### I2 Claim identifier

| Description | The <i>Unique claim identifier</i> (B1) that references the claim to which the reconsideration relates. |
|-------------|---|
| Purpose     | Relates the appeal to an individual claim.  |
| Format      | Character (15).   |
| Rules       | 1. Must reference a valid Unique claim identifier (B1).   |

### I3 Appeal received date

| Description | The date an application for review of a decision is received by the AAT in accordance with section 29 of the AAT Act.          |
|-------------|--|
| Purpose     | Required for scheme performance and NDS reporting (Item L3).   |
| Format      | Date.  |
| Rules       | 1. Must be between 1 January 1900 and 31 December 2099.  |
|             | 2. If this date is on or after 1 January 1997, then must be on or after any related <i>Reconsideration decision date</i> (H6). |

# I4 Appeal notice date

| Description | The date the decision maker is notified of the application to the AAT for review of a decision.   |
|-------------|---|
| Purpose     | To be included in employer data reports.  |
| Format      | Date.   |
| Rules       | <ol> <li>Must be between 1 January 1900 and 31 December 2099.</li> <li>Must be on or after the <i>Appeal received date</i> (I3).</li> </ol> |

## I5 Appeal initiator code

| Description    | A code that identifies the party that initiated the appeal.          |
|----------------|--|
| Purpose        | Required for scheme performance and NDS reporting (Items L2 and L3). |
| Format         | Character (1).   |
| Classification | C – Claimant.<br>E – Employer.<br>S – Self (determining authority).  |
| Rules          | 1. Must match classification.  |

#### **I6** Appeal issue code

| Description     | A code that identifies the issue under appeal.   |
|-----------------|--|
|                 | Where the appeal encompasses more than one issue the primary issue should be reported.   |
|                 | Prior to 1 July 2013 this was an optional data field. Licensees<br>are not required to retrospectively collect this data item for<br>appeals decided prior to this date and a null value may be<br>provided for earlier records where data is not available. |
| Purpose         | Required for Comcare Legal Team to monitor proceedings and facilitate communication among interested parties.  |
| Format          | Character (2).   |
| Classification  | Refer to Appendix A.5.   |
| Rules           | 1. If not null, then must match classification.  |
|                 | <ol> <li>If the Appeal decision date (I7) is on or after 1 July 2013,<br/>then must not be null.</li> </ol>  |
| Appeal decision | date   |

#### 17 Α

| Description | The date on which a decision in writing is made to affirm, vary, set aside or dismiss the reviewable decision.<br>A null value should be provided for undecided appeals. |
|-------------|--|
| Purpose     | Required for scheme performance and NDS reporting (Item L3).   |
| Format      | Date.  |
| Rules       | <ol> <li>Must be between 1 January 1900 and 31 December 2099.</li> <li>If not null, must be on or after the <i>Appeal received date</i> (I3).</li> </ol>                 |

# 18 Appeal decision code

| Description    | A code that identifies the outcome of the appeal.<br>A null value should be provided for undecided appeals.  |
|----------------|--|
| Purpose        | Required for scheme performance reporting.   |
| Format         | Character (1).   |
| Classification | A – Affirm.<br>V – Vary.<br>S – Set aside.<br>D – Dismiss.   |
| Rules          | <ol> <li>Must match classification.</li> <li>If the <i>Appeal decision date</i> (I7) is null, then must be null.</li> <li>If the <i>Appeal decision date</i> (I7) is not null, then must not be null.</li> </ol> |

# **I9** Appeal decision method code

| Description    | A code that identifies the way in which the matter was resolved.   |
|----------------|--|
|                | A null value should be provided for undecided appeals.   |
|                | This data field was implemented on 1 July 2013. Licensees<br>are not required to retrospectively collect this data item for<br>appeals decided prior to this date and a null value may be<br>provided for earlier records where data is not available. |
| Purpose        | Required for Comcare Legal Team to monitor proceedings and facilitate communication among interested parties.  |
| Format         | Character (1).   |
| Classification | C – Decision by consent.   |
|                | H – Decision by Tribunal hearing.  |
|                | W – Withdrawn by applicant.  |
|                | O – Other.   |
| Rules          | 1. If the Appeal decision date (I7) is null, then must be null.  |
|                | <ol> <li>If the Appeal decision date (I7) is on or after 1 July 2013,<br/>then must not be null.</li> </ol>  |
|                | 3. If not null, then must match classification.  |
|                | <ol> <li>If not null, if the Appeal decision code (I8) is 'D'<br/>(Dismissed), then must be 'W' (Withdrawn by applicant) or<br/>'O' (Other).</li> </ol>  |
|                | <ol> <li>If not null, if the Appeal decision code (I8) is not 'D'<br/>(Dismissed), then must be 'C' (Decision by consent) or 'H'<br/>(Decision by Tribunal hearing).</li> </ol>  |
|                |  |

### **I10** Appeal substantive hearing date

- Description The date allocated by the AAT for substantive hearing of the appeal. A null value should be provided for appeals where a substantive hearing date has not been allocated. This data field was implemented on 1 July 2013. Licensees are not required to retrospectively collect this data item for appeals decided prior to this date and a null value may be provided for earlier records where data is not available. Purpose Required for Comcare Legal Team to monitor proceedings and facilitate communication among interested parties. Format Date. Rules 1. If not null, then must be between 1 January 1900 and 31 December 2099.
  - 2. If not null, then must be on or after the *Appeal received date* (I3).

### I11 Scheme significant flag

| Description    | Identifies potentially scheme significant AAT proceedings.   |
|----------------|--|
|                | This data field was implemented on 1 July 2013. Licensees<br>are not required to retrospectively collect this data item for<br>appeals decided prior to this date and a null value may be<br>provided for earlier records where data is not available. |
| Purpose        | Required for Comcare Legal Team to monitor proceedings and facilitate communication among interested parties.  |
| Format         | Character (1).   |
| Classification | Y – Yes.   |
|                | N – No.  |
| Rules          | 1. If the <i>Appeal decision date</i> (I7) is on or after 1 July 2013, then must not be null.  |
|                | 2. If not null, then must match classification.  |

## I12 AAT reference

| Description | The reference assigned by the AAT to identify the appeal.  |
|-------------|--|
|             | There is no prescribed form for this field, however a clear and consistent approach should be used were possible (eg. 'yyyy-##' or 'AATyyyy/####').  |
|             | This data field was implemented on 1 July 2013. Licensees<br>are not required to retrospectively collect this data item for<br>appeals decided prior to this date and a null value may be<br>provided for earlier records where data is not available. |
| Purpose     | Required for Comcare Legal Team to monitor proceedings and facilitate communication among interested parties.  |
| Format      | Character (15).  |
| Rules       | <ol> <li>If the Appeal decision date (I7) is on or after 1 July 2013,<br/>then must not be null.</li> </ol>  |

## 4.10 Line item file data fields

This file details each line item determination, including incapacity payments, over the life of a claim for all claims reported to the data warehouse. Overpayment recoveries are included in this file as negative amounts.

### J1 Unique line item identifier

| Description | A reference that uniquely identifies each line item payment. |
|-------------|--|
| Purpose     | Unique identifier for this record.                           |
| Format      | Character (15).  |
| Rules       | 1. Must be unique for the file.                              |

### J2 Claim identifier

| Description | The <i>Unique claim identifier</i> (B1) that references the claim to which the line item relates. |
|-------------|---|
| Purpose     | Relates line item to an individual claim.   |
| Format      | Character (15).   |
| Rules       | 1. Must reference a valid Unique claim identifier (B1).   |

### J3 Line item SRC Act reference code

| Description    | Indicates the section, subsection and paragraph of the SRC Act under which the determination was made.   |
|----------------|--|
|                | A null value may be provided for line item payments that are<br>not 'A' (Accepted) or 'X' (Reversed/deleted - previously<br>accepted).                     |
| Purpose        | Required for NDS reporting (claim payment details).  |
| Format         | Number (3).  |
| Classification | Refer to Appendix A.4.   |
| Rules          | 1. Must match classification.  |
|                | <ol> <li>If Line item determination code (J6) is 'A' (Accepted) or 'X'<br/>(Reversed/deleted – previously accepted), then must not<br/>be null.</li> </ol> |

#### J4 Payment category code

| Description    | Indicates the type of payment or service provided,<br>irrespective of the section of the Act under which the payment<br>is determined.  |
|----------------|---|
|                | For example, travel costs may be determined under s 16(6) and section 36(6) of the SRC Act, but will be reported here as travel costs according to the relevant means of transport. For this example, travel costs related to section 16 determinations will be separately identified from those related to section 36 determinations by the section of the act reported at the <i>Line item SRC Act reference code</i> (J3). |
|                | A null value may be provided for line item payments that are<br>not 'A' (Accepted) or 'X' (Reversed/deleted - previously<br>accepted).  |
| Purpose        | Required for NDS reporting (claim payment details).   |
| Format         | Number (3).   |
| Classification | Refer to Appendix A.6.  |
| Rules          | 1. Must match classification.   |
|                | <ol> <li>If Line item determination code (J6) is 'A' (Accepted) or 'X'<br/>(Reversed/deleted (previously accepted)), then must not<br/>be null.</li> </ol>  |

#### J5 Line item type code

| Description    | Indicates whether the line item relates to a payment or recovery of an overpayment. |
|----------------|---|
| Purpose        | Required for NDS reporting (claim payment details).                                 |
| Format         | Character (1).  |
| Classification | P – Payment.  |
|                | R – Recovery (overpayment).   |
| Rules          | 1. Must match classification.   |

#### J6 Line item determination code

| Description    | Indicates the outcome of the line item determination.  |
|----------------|--|
| Purpose        | Required for scheme performance and NDS reporting (claim payment details).                         |
| Format         | Character (1).   |
| Classification | A – Accepted.<br>R – Rejected.<br>U – Undetermined.<br>X – Reversed/deleted (previously accepted). |
| Rules          | 1. Must match classification.  |

#### J7 Line item determination date

| Description | The date of the formal determination in relation to the line item.   |
|-------------|--|
|             | A null value should be provided for line item payments that have not yet been determined.  |
| Purpose     | Required for scheme performance and NDS reporting (claim payment details).   |
| Format      | Date.  |
| Rules       | 1. Must be between 1 January 1900 and 31 December 2099.  |
|             | <ol> <li>If the Line item determination code (J6) is 'U'<br/>(Undetermined), then must be null.</li> </ol>   |
|             | <ol> <li>If the Line item determination code (J6) is not 'U'<br/>(Undetermined), then must not be null.</li> </ol>   |
|             | 4. If this date is on or after 1 January 1997, and <i>Line item determination code</i> (J6) is 'A' (Accepted), then should be on or after the related <i>Injury date</i> (B3). |

#### J8 Line item net GST amount

| Description | The line item amount net claimable GST.                                    |
|-------------|--|
| Purpose     | Required for scheme performance and NDS reporting (claim payment details). |
| Format      | Number (S11.2).  |

#### J9 Line item GST amount

| Description | The amount of claimable GST in relation to the line item.                  |
|-------------|--|
| Purpose     | Required for scheme performance and NDS reporting (claim payment details). |
| Format      | Number (S11.2).  |

#### J10 Line item service date

| Description | For medical and other services payments, the service date to which the line item relates.  |
|-------------|--|
|             | A null value should be provided for line item payments relating to incapacity and may also be provided for line item payments that have not yet been accepted.   |
| Purpose     | Required for NDS reporting (claim payment details).  |
| Format      | Date.  |
| Rules       | 1. Must be between 1 January 1900 and 31 December 2099.  |
|             | <ol> <li>If Line item SRC Act reference code (J3) indicates an<br/>incapacity payment, then should not be present. Refer<br/>Appendix A.4.</li> </ol>  |
|             | 3. If <i>Line item SRC Act reference code (J3)</i> indicates a non-<br>incapacity payment and <i>Line item determination code</i> (J6)<br>is 'A' (Accepted) and <i>Line item determination date</i> (J7) is<br>on or after 1 January 1997, then must be present. |

#### 4.11 Rehabilitation file data fields

This file details each rehabilitation plan determined under section 37 of the SRC Act over the life of a claim for all claims reported to the data warehouse.

Rehabilitation activity that was initiated prior to the acceptance of a claim may be reported where this is in accordance with an equivalent process to that which would be employed under section 37 of the SRC Act and otherwise meets the requirements of the section.

#### K1 Unique rehabilitation plan identifier

| Description | A reference that uniquely identifies each section 37 rehabilitation plan. |
|-------------|---|
| Purpose     | Unique identifier for this record.  |
| Format      | Character (15).   |
| Rules       | 1. Must be unique for the file.   |

#### K2 Claim identifier

| Description | The <i>Unique claim identifier</i> (B1) that references the claim to which the rehabilitation plan relates. |
|-------------|---|
| Purpose     | Relates rehabilitation plan to an individual claim.   |
| Format      | Character (15).   |
| Rules       | 1. Must reference a valid Unique claim identifier (B1).   |

#### K3 Rehabilitation plan determination date

| Description | The date the rehabilitation authority made the determination<br>under section 37 of the SRC Act that the employee should<br>undertake the rehabilitation plan. |
|-------------|--|
| Purpose     | To be included in employer data reports.   |
| Format      | Date.  |
| Rules       | 1. Must be between 1 January 1900 and 31 December 2099.  |
|             | <ol> <li>If this date is on or after 1 January 1997, then must be on<br/>or after the related <i>Injury date</i> (B3).</li> </ol>                              |
|             | <ol> <li>If Rehabilitation plan approval status code (K4) is 'A'<br/>(Acknowledge), then must not be null.</li> </ol>  |

#### K4 Rehabilitation plan approval status code

| Description    | Indicates the approval status of the rehabilitation plan.<br>If this status code is not applicable to the determining<br>authority (e.g. only valid plans are reported), then a status<br>code of 'A' (Acknowledge) should be provided. |
|----------------|---|
| Purpose        | To be included in employer data reports.  |
| Format         | Character (1).  |
| Classification | A – Acknowledge.<br>D – Delete.<br>N – Non-compliant.<br>Z – Rehabilitation not required.   |
| Rules          | 1. Must match classification.   |

#### K5 Rehabilitation plan closure date

| Description | The date the rehabilitation plan was closed.<br>A null value should be provided for rehabilitation plans that<br>have not yet been closed.  |
|-------------|---|
| Purpose     | To be included in employer data reports.<br>For use in assessment of rehabilitation providers against the<br>Rehabilitation provider outcome standards.   |
| Format      | Date.   |
| Rules       | <ol> <li>Must be between 1 January 1900 and 31 December 2099.</li> <li>If the <i>Rehabilitation plan determination date</i> (K3) is on or<br/>after 1 January 1997 and the <i>Rehabilitation plan approval</i><br/><i>status code</i> (K4) is 'A' (Acknowledge), then must be on or<br/>after the <i>Rehabilitation plan start date</i> (K11).</li> </ol> |

#### K6 Rehabilitation plan closure reason code

- DescriptionThe reason the rehabilitation plan was closed.A null value should be provided for rehabilitation plans that<br/>have not yet been closed.
- PurposeTo be included in employer data reports.For use in assessment of rehabilitation providers against the<br/>Rehabilitation provider outcome standards.
- **Format** Character (1).

Classification Refer to Appendix A.7.

#### **Rules** 1. Must match classification.

- 2. If *Rehabilitation plan determination date* (K3) is on or after 1 January 1997 and *Rehabilitation plan approval status code* (K4) is 'A' (Acknowledge) and the *Rehabilitation plan closure date* (K5) is null, then must be null.
- If Rehabilitation plan determination date (K3) is on or after 1 January 1997 and Rehabilitation plan approval status code (K4) is 'A' (Acknowledge) and the Rehabilitation plan closure date (K5) is not null, then must not be null.

#### K7 Rehabilitation plan final outcome code – employer

- **Description** The final outcome of the rehabilitation plan in relation to the injured worker's employer.
- **Purpose** To be included in employer data reports.
- Format Character (1).
- **Classification** S Same employer.

N – New employer.

Null if no return to work.

**Rules** 1. Must match classification.

#### K8 Rehabilitation plan final outcome code – duties

| Description    | The final outcome of the rehabilitation plan in relation to the injured worker's duties. |
|----------------|--|
| Purpose        | To be included in employer data reports.   |
| Format         | Character (1).   |
| Classification | S – Same duties.<br>M – Modified duties.<br>N – New duties                               |
|                | N – New duties.<br>Null if no return to work.  |
| Rules          | <ol> <li>Must match classification.</li> </ol>   |

#### K9 Rehabilitation plan final outcome code – hours

| Description    | The final outcome of the rehabilitation plan in relation to the injured worker's hours worked each week. |
|----------------|--|
| Purpose        | To be included in employer data reports.   |
| Format         | Character (1).   |
| Classification | S – Same hours.<br>R – Reduced hours.<br>Null if no return to work.                                      |
| Rules          | 1. Must match classification.  |

#### K10 Rehabilitation plan final outcome date

| Description | The date the final outcome of the rehabilitation plan, as<br>reported in the <i>Rehabilitation plan final outcome code</i> –<br><i>employer</i> (K7), <i>Rehabilitation plan final outcome code</i> –<br><i>duties</i> (K8) and <i>Rehabilitation plan final outcome</i> – <i>hours</i><br>(K9), was achieved. |
|-------------|--|
| Purpose     | To be included in employer data reports.   |
| Format      | Date.  |
| Rules       | 1. Must be between 1 January 1900 and 31 December 2099.  |
|             | <ol> <li>If Rehabilitation plan determination date (K3) is on or after<br/>1 January 1997 then must be on or after the related <i>Injury</i><br/>date (B3).</li> </ol>   |

#### K11 Rehabilitation plan start date

| Description | The start date of the rehabilitation plan. This may be the earlier of the first service date or the first placement date in relation to the plan.   |
|-------------|---|
| Purpose     | For use in assessment of rehabilitation providers against the Rehabilitation provider outcome standards.  |
| Format      | Date.   |
| Rules       | 1. Must be between 1 January 1900 and 31 December 2099.   |
|             | <ol> <li>If Rehabilitation plan approval status code (K4) is 'A'<br/>(Acknowledge) and Rehabilitation plan determined date<br/>(K3) is on or after 1 January 1997, then must be on or<br/>after the related <i>Injury date</i> (B3).</li> </ol> |

#### K12 Rehabilitation plan cost-to-date

| Description | The total cost-to-date of the rehabilitation plan, paid under section 37 of the SRC Act.<br>This value should be rounded to the nearest whole dollar. |
|-------------|---|
| Purpose     | For use in assessment of rehabilitation providers against the Rehabilitation provider outcome standards.  |
| Format      | Number (6).   |

#### K13 Rehabilitation provider code

| Description | A reference that identifies the ABN of the rehabilitation provider in relation to the rehabilitation plan. |
|-------------|--|
| Purpose     | For use in assessment of rehabilitation providers against the Rehabilitation provider outcome standards.   |
| Format      | Number (11).   |

#### 4.12 Rehabilitation assessment file data fields

This file details each rehabilitation assessment under section 36 of the SRC Act over the life of a claim for all claims reported to the data warehouse.

Rehabilitation activity that was initiated prior to the acceptance of a claim may be reported where this is in accordance with an equivalent process to that which would be employed under section 36 of the SRC Act and otherwise meets the requirements of the section.

#### L1 Unique rehabilitation assessment identifier

| Description | A reference that uniquely identifies each section 36 rehabilitation assessment. |
|-------------|---|
| Purpose     | Unique identifier for this record.  |
| Format      | Character (15).   |
| Rules       | 1. Must be unique for the file.   |

#### L2 Claim identifier

| Description | The <i>Unique claim identifier</i> (B1) that references the claim to which the rehabilitation assessment relates. |
|-------------|---|
| Purpose     | Relates rehabilitation assessment to an individual claim.   |
| Format      | Character (15).   |
| Rules       | 1. Must reference a valid Unique claim identifier (B1).   |

#### L3 Rehabilitation assessment date

| Description | The first service date in relation to the section 36 rehabilitation assessment.                                |
|-------------|--|
| Purpose     | To be included in employer data reports.   |
| Format      | Date.  |
| Rules       | 1. Must be between 1 January 1900 and 31 December 2099.  |
|             | 2. If this date is on or after 1 January 1997, then should be on or after the related <i>Injury date</i> (B3). |

#### 4.13 Court file data fields

This file details all court proceedings filed over the life of a claim for all claims reported to the data warehouse. This data assists licensees to meet their obligations under section 108C(8) of the SRC Act and will be used by Comcare to monitor court proceedings.

This file was first implemented on 1 July 2013. Licensees are not required to retrospectively collect the data within this file for court matters resolved prior to 1 July 2013.

#### M1 Unique court identifier

| Description | A reference assigned by the claim manager that uniquely identifies each court matter. |
|-------------|---|
| Purpose     | Unique identifier for this record.  |
| Format      | Character (15).   |
| Rules       | 1. Must be unique for the file.   |

#### M2 Claim identifier

| Description | The <i>Unique claim identifier</i> (B1) that references the claim associated with the court proceedings. |
|-------------|--|
| Purpose     | Relates court proceedings to an individual claim.  |
| Format      | Character (15).  |
| Rules       | 1. Must reference a valid Unique claim identifier (B1).  |

#### M3 Appeal Identifier

**Description** A reference that identifies the AAT appeal to which the court matter relates.

If multiple appeals relate to this court matter, the most significant appeal should be reported.

May only be left blank on the rare occasion where the court matter is not linked to any AAT appeal, for example, where a stand-alone application has been made under the *Administrative Decisions (Judicial Review) Act 1977* or a common law application has been made in a state court.

- **Purpose** Relates court details to an individual AAT appeal.
- Format Character (15).
- Rules 1. If not null, must reference a valid *Unique appeal identifier* (11).
  - Should be present when null (only as stated in description above), a warning will be generated and followed-up with the licensee by Comcare for confirmation.

#### M4 Court type code

| Description    | Identifies the court within which proceedings were filed.   |
|----------------|---|
| Purpose        | Assists licensees to meet their obligations under section 108C(8) of the SRC Act and facilitates Comcare's monitoring of court proceedings. |
| Format         | Character (2).  |
| Classification | FM – Federal Magistrates Court.   |
|                | FC – Federal Court.   |
|                | FF – Full Federal Court.  |
|                | HC – High Court.  |
|                | SC – State Court.   |
| Rules          | 1. Must match classification.   |

| M5 | Filed date  |   |  |  |  |
|----|-------------|---|--|--|--|
|    | Description | Date the initiating document was filed with the court registry.   |  |  |  |
| •  |             | Assists licensees to meet their obligations under section 108C(8) of the SRC Act and facilitates Comcare's monitoring of court proceedings.           |  |  |  |
|    | Format      | Date.   |  |  |  |
|    | Rules       | <ol> <li>Must be between 1 January 1900 and 31 December 2099.</li> <li>Should be on or after the related <i>Appeal decision date</i> (I7).</li> </ol> |  |  |  |

#### M6 Court initiator code

| Description    | A code that identifies the party that initiated the court matter.   |  |
|----------------|---|--|
| Purpose        | Assists licensees to meet their obligations under section 108C(8) of the SRC Act and facilitates Comcare's monitoring of court proceedings. |  |
| Format         | Character (1).  |  |
| Classification | C – Claimant.   |  |
|                | E – Employer.   |  |
|                | S – Self (determining authority).   |  |
| Rules          | 1. Must match classification.   |  |

#### M7 Court substantive hearing date

**M8** 

| Description   | The date allocated by the court for substantive hearing of the matter.  |  |
|---------------|---|--|
|               | A null value should be provided for matters where a substantive hearing date has not been allocated.  |  |
| Purpose       | Assists licensees to meet their obligations under section 108C(8) of the SRC Act and facilitates Comcare's monitoring of court proceedings. |  |
| Format        | Date.   |  |
| Rules         | <ol> <li>If not null, then must be between 1 January 1900 and 31<br/>December 2099.</li> </ol>  |  |
|               | 2. If not null, then must be on or after the <i>Filed date</i> (M5).  |  |
| Resolved date |   |  |

# DescriptionThe date the matter is resolved by consent, withdrawal or<br/>Court decision.<br/>A null value should be provided for unresolved matters.PurposeAssists licensees to meet their obligations under section<br/>108C(8) of the SRC Act and facilitates Comcare's monitoring<br/>of court proceedings.FormatDate.Rules1. If not null, then must be between 1 January 1900 and 31<br/>December 2099.<br/>2. If not null, then must be on or after Filed date (M5).

#### M9 Court decision code

| Description    | A code that identifies the outcome of the court matter.<br>A null value should be provided for unresolved matters.   |  |
|----------------|--|--|
| Purpose        | Assists licensees to meet their obligations under section 108C(8) of the SRC Act and facilitates Comcare's monitoring of court proceedings.                    |  |
| Format         | Character (1).   |  |
| Classification | A – Affirm.<br>V – Vary.<br>S – Set aside.<br>D – Dismiss.   |  |
| Rules          | <ol> <li>If the <i>Resolved date</i> (M8) is null, then must be null.</li> <li>If the <i>Resolved date</i> (M8) is not null, then must not be null.</li> </ol> |  |

3. If not null, then must match classification.

#### M10 Court decision method code

| Description    | A code that identifies the way in which the matter was resolved.<br>A null value should be provided for unresolved matters.  |  |
|----------------|--|--|
| Purpose        | Assists licensees to meet their obligations under section 108C(8) of the SRC Act and facilitates Comcare's monitoring of court proceedings.  |  |
| Format         | Character (1).   |  |
| Classification | C – Decision by consent.<br>H – Decision at hearing.<br>W – Withdrawn by applicant.<br>O – Other.  |  |
| Rules          | <ol> <li>If the <i>Resolved date</i> (M8) is null, then must be null.</li> <li>If the <i>Resolved date</i> (M8) is not null, then must not be null.</li> <li>If not null, then must match classification.</li> <li>If not null and the <i>Court decision code</i> (M9) is 'D'<br/>(Dismissed) then must be 'W' (Withdrawn by applicant) or<br/>'O' (Other).</li> <li>If not null and the <i>Court decision code</i> (M9) is not 'D'<br/>(Dismissed) then must be 'C' (Decision by consent) or 'H'<br/>(Decision by Tribunal hearing).</li> </ol> |  |

#### M11 Court reference

| Description | The reference assigned by the court to identify the matter.  |  |  |
|-------------|--|--|--|
|             | There is no prescribed form for this field, however a clear and consistent approach should be used were possible (eg. 'yyyy-##' or 'FCyyyy/####'). |  |  |
| Purpose     | Assists licensees to meet their obligations under section 108C(8) of the SRC Act and facilitates Comcare's monitoring of court proceedings.        |  |  |
| Format      | Character (15).  |  |  |

#### 4.14 Timeframe file data fields

This file captures periods which should not be included when calculating the prescribed timeframes for decision making under the SRC Act in relation to initial claims for workers' compensation made under section 14 of the Act. These are situations in which a determining authority is seeking further information or material in relation to a claim. These periods are specified in the Regulations. These will be known as 'stop-clock' periods.

It will be possible for this file to capture any situation circumstances. Examples including:

- Multiple situations of the same type.
- Situations which overlap.

This covers all incidences over the life of a claim for all claims reported to the data warehouse that have a first compliance date on or after 1 April 2024.

#### N1 Situation identifier

| Description | A reference that uniquely identifies each 'stop-clock' situation. |
|-------------|---|
| Purpose     | Unique identifier for this record.                                |
| Format      | Character (15).   |
| Rules       | 1. Must be unique for the file.                                   |

#### N2 Claim identifier

| Description | The Unique claim identifier (B1) that references the claim to which the situation relates.  |  |
|-------------|---|--|
| Purpose     | A valid claim against which a 'stop-clock' is being applied.  |  |
| Format      | Character (15).   |  |
| Rules       | 1. Must reference a valid claim (B1).   |  |
|             | <ol> <li>Must have a first compliance date (C2) (determination<br/>status of 'U') (C3) on or after the legislated start date</li> </ol> |  |

of 1 April 2024.

#### N3 Situation code

| Description                                | The reason for the 'stop-clock' period. |  |  |
|--|---|--|--|
| Purpose                                    | For reporting purposes.                 |  |  |
| Format Character (2).                      |   |  |  |
| Classification                             | Refer to Appendix A.11                  |  |  |
| <b>Rules</b> 1. Must match classification. |   |  |  |

#### N4 Situation start date

| Description | The start date in relation to the 'stop-clock' period.  |  |  |
|-------------|---|--|--|
| Purpose     | For reporting purposes.   |  |  |
| Format      | Date  |  |  |
| Rules       | 1. Must be on or after 1 April 2024.  |  |  |
|             | 2. Must be on or after the related Injury date (B3).  |  |  |
|             | <ol> <li>Must be on or after the first compliance date (C2)<br/>(determination status of 'U') (C3) of the claim ID (N2).</li> </ol> |  |  |
|             | 4. Must be no first determination recorded on the claim   |  |  |

(determination status of 'A' or 'R') (C3).

# 5 Situation end date

N5

| Description | The end date in relation to the 'stop-clock' period.  |  |  |
|-------------|---|--|--|
| Purpose     | For reporting purposes.   |  |  |
| Format      | Date  |  |  |
| Rules       | 1. Must be on or after 1 April 2024.  |  |  |
|             | 2. Must be on or after the related Injury date (B3).  |  |  |
|             | 3. Must be on or after the situation start date/time (N4).  |  |  |
|             | <ol> <li>Must be on or after the first compliance date (C2)<br/>(determination status of 'U') (C3) of the claim ID (N2).</li> </ol> |  |  |
|             | 5 Must be on or before the first determination date on the  |  |  |

5. Must be on or before the first determination date on the claim (determination status of 'A' or 'R') (C3).

## Appendix A Coding classifications

#### A.1 Country code classification

| Code | Description                    | Code | Description                        |
|------|--------------------------------|------|------------------------------------|
| AD   | Andorra                        | CU   | Cuba                               |
| AE   | United Arab Emirates           | CV   | Cape Verde                         |
| AF   | Afghanistan                    | CX   | Christmas Island                   |
| AG   | Antigua and Barbuda            | CY   | Cyprus                             |
| AI   | Anguilla                       | CZ   | Czech Republic                     |
| AL   | Albania                        | DE   | Germany                            |
| AM   | Armenia                        | DJ   | Djibouti                           |
| AN   | Netherlands Antilles           | DK   | Denmark                            |
| AO   | Angola                         | DM   | Dominica                           |
| AQ   | Antarctica                     | DO   | Dominican Republic                 |
| AR   | Argentina                      | DZ   | Algeria                            |
| AS   | American Samoa                 | EC   | Ecuador                            |
| AT   | Austria                        | EE   | Estonia                            |
| AU   | Australia                      | EG   | Egypt                              |
| AW   | Aruba                          | EH   | Western Sahara                     |
| AX   | Aaland Islands                 | ER   | Eritrea                            |
| AZ   | Azerbaijan                     | ES   | Spain                              |
| BA   | Bosnia and Herzegovina         | ET   | Ethiopia                           |
| BB   | Barbados                       | FI   | Finland                            |
| BD   | Bangladesh                     | FJ   | Fiji                               |
| BE   | Belgium                        | FK   | Falkland Islands (Malvina Islands) |
| BF   | Burkina Faso                   | FM   | Micronesia, Federated States of    |
| BG   | Bulgaria                       | FO   | Faroe Islands                      |
| BH   | Bahrain                        | FR   | France                             |
| BI   | Burundi                        | GA   | Gabon                              |
| BJ   | Benin                          | GB   | United Kingdom                     |
| BM   | Bermuda                        | GD   | Grenada                            |
| BN   | Brunei Darussalam              | GE   | Georgia                            |
| BO   | Bolivia                        | GF   | French Guiana                      |
| BR   | Brazil                         | GH   | Ghana                              |
| BS   | Bahamas                        | GI   | Gibraltar                          |
| BT   | Bhutan                         | GL   | Greenland                          |
| BV   | Bouvet Island                  | GM   | Gambia                             |
| BW   | Botswana                       | GN   | Guinea                             |
| BY   | Belarus                        | GP   | Guadeloupe                         |
| BZ   | Belize                         | GQ   | Equatorial Guinea                  |
| CA   | Canada                         | GR   | Greece                             |
| CC   | Cocos (Keeling) Islands        | GS   | South Georgia and The South        |
|      |                                |      | Sandwich Islands                   |
| CD   | Congo, The Democratic Republic | GT   | Guatemala                          |
|      | of<br>Control African Depublic |      | Quar                               |
| CF   | Central African Republic       | GU   | Guam                               |
| CG   | Congo                          | GW   | Guinea-Bissau                      |
| CH   | Switzerland                    | GY   | Guyana                             |
| CI   | Cote D'Ivoire                  | HK   | Hong Kong                          |
| CK   | Cook Islands                   | HM   | Heard Island and McDonald Islands  |
| CL   | Chile                          | HN   | Honduras                           |
| CM   | Cameroon                       | HR   | Croatia                            |
| CN   | China                          | HT   | Haiti                              |

| Code Description             |         |                                 |
|------------------------------|---------|---------------------------------|
|                              | Code    | Description                     |
| CO Colombia                  | HU      | Hungary                         |
| CR Costa Rica                | ID      | Indonesia                       |
| CS Serbia And Montenegro     | IE      | Ireland                         |
|                              |         |                                 |
| IL Israel                    | MX      | Mexico                          |
| IN India                     | MY      | Malaysia                        |
| IO British Indian Ocean Ter  |         | Mozambique                      |
| IQ Iraq                      | NA      | Namibia                         |
| IR Iran, Islamic Republic of | NC      | New Caledonia                   |
| IS Iceland                   | NE      | Niger                           |
| IT Italy                     | NF      | Norfolk Island                  |
| JM Jamaica                   | NG      | Nigeria                         |
| JO Jordan                    | NI      | Nicaragua                       |
| JP Japan                     | NL      | Netherlands                     |
| KE Kenya                     | NO      | Norway                          |
| KG Kyrgyzstan                | NP      | Nepal                           |
| KH Cambodia                  | NR      | Nauru                           |
| KI Kiribati                  | NU      | Niue                            |
| KM Comoros                   | NZ      | New Zealand                     |
| KN Saint Kitts and Nevis     | OM      | Oman                            |
| KP Korea, Democratic Peop    | le's PA | Panama                          |
| Republic of                  |         |                                 |
| KR Korea, Republic of        | PE      | Peru                            |
| KW Kuwait                    | PF      | French Polynesia                |
| KY Cayman Islands            | PG      | Papua New Guinea                |
| KZ Kazakhstan                | PH      | Philippines                     |
| LA Lao People's Democration  | PK      | Pakistan                        |
| Republic                     |         |                                 |
| LB Lebanon                   | PL      | Poland                          |
| LC Saint Lucia               | PM      | Saint Pierre and Miquelon       |
| LI Liechtenstein             | PN      | Pitcairn                        |
| LK Sri Lanka                 | PR      | Puerto Rico                     |
| LR Liberia                   | PS      | Palestinian Territory, Occupied |
| LS Lesotho                   | PT      | Portugal                        |
| LT Lithuania                 | PW      | Palau                           |
| LU Luxembourg                | PY      | Paraguay                        |
| LV Latvia                    | QA      | Qatar                           |
| LY Libyan Arab Jamahiriya    | RE      | Reunion                         |
| MA Morocco                   | RO      | Romania                         |
| MC Monaco                    | RU      | Russian Federation              |
| MD Moldova, Republic of      | RW      | Rwanda                          |
| MG Madagascar                | SA      | Saudi Arabia                    |
| MH Marshall Islands          | SB      | Solomon Islands                 |
| MK Macedonia, The Former     | SC      | Seychelles                      |
| Yugoslav Republic of         |         |                                 |
| ML Mali                      | SD      | Sudan                           |
| MM Myanmar                   | SE      | Sweden                          |
| MN Mongolia                  |         |                                 |
| MO Macao                     |         |                                 |
| MP Northern Mariana Island   |         | Slovenia                        |
|                              | SJ      | Svalbard and Jan Mayen          |
|                              | SJ      |                                 |
|                              | SK      | Slovakia                        |
| MQ Martinique                |         |                                 |

| Code | Description                  | Code | Description                          |
|------|------------------------------|------|--------------------------------------|
| MU   | Mauritius                    | SN   | Senegal                              |
| MV   | Maldives                     | SO   | Somalia                              |
| MW   | Malawi                       | SR   | Suriname                             |
| ST   | Sao Tome and Principe        | UG   | Uganda                               |
| SV   | El Salvador                  | UM   | United States Minor Outlying Islands |
| SY   | Syrian Arab Republic         | US   | United States                        |
| SZ   | Swaziland                    | UY   | Uruguay                              |
| TC   | Turks and Caicos Islands     | UZ   | Uzbekistan                           |
| TD   | Chad                         | VA   | Holy See (Vatican City State)        |
| TF   | French Southern Territories  | VC   | Saint Vincent and The Grenadines     |
| TG   | Тодо                         | VE   | Venezuela                            |
| TH   | Thailand                     | VG   | Virgin Islands, British              |
| TJ   | Tajikistan                   | VI   | Virgin Islands, U.S.                 |
| ΤK   | Tokelau                      | VN   | Viet Nam                             |
| TL   | Timor-Leste                  | VU   | Vanuatu                              |
| ТМ   | Turkmenistan                 | WF   | Wallis and Futuna                    |
| TN   | Tunisia                      | WS   | Samoa                                |
| TO   | Tonga                        | YE   | Yemen                                |
| TR   | Turkey                       | YT   | Mayotte                              |
| TT   | Trinidad and Tobago          | YU   | Yugoslavia                           |
| ΤV   | Tuvalu                       | ZA   | South Africa                         |
| TW   | Taiwan, Province of China    | ZM   | Zambia                               |
| ΤZ   | Tanzania, United Republic of | ZW   | Zimbabwe                             |
| UA   | Ukraine                      |      |                                      |

#### A.2 Duty status code classification

| Code | Description   |
|------|---|
| 01   | Working at usual workplace: The injury or disease occurred while the employee was working at his/her usual workplace or base of operations.   |
| 02   | <u>Transport accident while working:</u> The employee was injured while<br>travelling as part of his/her work. This code should apply to any vehicle<br>accident (e.g. car, train, plane) whether the case is a driver, passenger or<br>pedestrian, but does not apply to commuting or any other prescribed<br>journey claims nor to accidents occurring the employee is absent from the<br>workplace during an authorised work break. This category will generally<br>cover those employees involved in transport-related occupations. |
| 03   | <u>At work during recess period:</u> The employee was injured during a paid or unpaid period within the shift during which the worker had taken an authorised break from work.  |
| 04   | <u>Travelling to or from work:</u> The employee was injured while travelling directly between home and the workplace or place of pick-up, or where the employee was travelling for work-related educational purposes or for treatment in relation to a compensable injury.  |
| 05   | <u>Away from work during recess period:</u> The employee was injured away from work during an ordinary recess or authorised absence. This includes injuries resulting from vehicle accidents while away from work during a recess period.   |
| 06   | Working away from usual workplace: The employee was injured while working at a location other than his/her usual workplace or base of operations.   |
| 99   | Not applicable: The employee's injury is not work related as defined by the provisions of sections 6 and 7 of the SRC Act.  |

#### A.3 RTW status code classification

| Code  | Description  |  |  |  |  |
|-------|--|--|--|--|--|
| Codes | for claims determined prior to 1 July 2017.  |  |  |  |  |
| 00    | Less than 4 working weeks absence: The employee was absent from work for less than 4 working weeks. Excludes cases where the employee has died as a result of the injury/disease.  |  |  |  |  |
| 01    | <u>Full RTW</u> : The employee was absent from work for 4 working weeks or more and is currently working at least the same number of hours as prior to the injury/disease.   |  |  |  |  |
| 02    | Partial RTW: The employee was absent from work for 4 working weeks or more and is currently working, but fewer hours than prior to the injury/disease.   |  |  |  |  |
| 03    | <ul> <li>Not working – injury related: Either:</li> <li>the employee was absent from work for 4 working weeks or more and is not working at all for reasons related to the compensated injury/disease; or</li> <li>the employee has died as a result of the compensated injury/disease, irrespective of the recorded time lost.</li> </ul> |  |  |  |  |
| 04    | <u>Not working – other reason</u> : The employee was absent from work for 4 working weeks or more and is not working for reason unrelated to the compensated injury/disease. Includes redundancy, retrenchment, resignation, studying, seasonal worker or deceased where death is not as a result of the compensated injury/disease.       |  |  |  |  |
| 05    | <u>Unknown – failure to provide medical certificate</u> : The employee was absent from work for 4 working weeks or more, has stopped providing medical certificates and his or her work status is unknown.   |  |  |  |  |
| 06    | <u>Unknown – other:</u> The employee was absent from work for 4 working weeks or more, is no longer eligible for compensation and his or her work status is unknown. For example, employee has reached retirement age. Excludes employees who have received a redemption/commutation lump sum.   |  |  |  |  |
| Codes | Codes for claims determined post 1 July 2017.  |  |  |  |  |
| 21    | <u>Working with no income maintenance – unknown employer:</u> Employee is currently working and it is unknown whether work is with pre-injury employer or different employer and is not receiving any income maintenance   |  |  |  |  |
| 22    | <u>Working with no income maintenance – pre-injury employer:</u> Employee is currently working with the pre-injury employer and is not receiving any income maintenance  |  |  |  |  |

| Code | Description   |
|------|---|
| 23   | <u>Working with no income maintenance – different employer:</u> Employee is currently working with a different employer and is not receiving any income maintenance   |
| 24   | <u>Working with income maintenance – unknown employer:</u> Employee is<br>currently working and it is unknown whether work is with pre-injury<br>employer or different employer and is receiving income maintenance.<br>Income maintenance payments may be due to the employee working<br>fewer hours than prior to the injury/disease or due to the employee<br>working the same hours but in a job with lower remuneration and is<br>receiving top-up payments. |
| 25   | Working with income maintenance pre-injury employer: Employee is<br>currently working with the pre-injury employer, but is receiving some<br>income maintenance. Income maintenance payments may be due to the<br>employee working fewer hours than prior to the injury/disease or due to<br>the employee working the same hours but in a job with lower remuneration<br>and is receiving top-up payments.  |
| 26   | Working with income maintenance different employer: Employee is<br>currently working with a different employer but is receiving some income<br>maintenance. Income maintenance payments may be due to the<br>employee working fewer hours than prior to the injury/disease or due to<br>the employee working the same hours but in a job with lower remuneration<br>and is receiving top-up payments.   |
| 27   | Working capacity unknown: Employee is at work however it is unclear whether the worker is back at full or partial capacity, or is or is not receiving income maintenance.   |
| 28   | <u>Not working with no income maintenance:</u> Employee is not working and is<br>no longer receiving income maintenance. For example, redundancy,<br>retrenchment, resigned, studying, seasonal worker.   |
| 29   | Not working with income maintenance: Employee is not working at all and is receiving income maintenance.  |
| 30   | <u>Deceased:</u> Employee is deceased. Includes deaths related to the compensated injury and death unrelated to the compensated injury.   |
| 31   | <u>Unknown:</u> Employee is no longer eligible for compensation and his or her work status is unknown. For example, employee has reached retirement age, payment thresholds have been reached, or a redemption lump sum has been paid and the work status is unknown. (This code may be used as a default code where there is no work status for an individual).  |

# A.4 Incapacity SRC Act reference code and Line item SRC Act reference code classification

| Code | Act  | Section  | Incapacity<br>Flag | Description   |  |
|------|------|----------|--------------------|---|--|
| 051  | 1971 | 37(1)    |                    | Medical expenses  |  |
| 067  | 1971 | 37(7)    |                    | Travel expenses   |  |
| 015  | 1971 | 39       |                    | Compensation for certain losses   |  |
| 017  | 1971 | 43       |                    | Death   |  |
| 021  | 1971 | 44       |                    | Funeral expenses  |  |
| 060  | 1971 | 49       |                    | Redemption of partial incapacity payments                                       |  |
| 050  | 1988 | 15(1)    |                    | Loss/damage to property (no injury)   |  |
| 065  | 1988 | 15(2)    |                    | Replacement or repair of property   |  |
| 052  | 1988 | 16(1)    |                    | Medical treatment   |  |
| 068  | 1988 | 16(6)    |                    | Travel for medical treatment  |  |
| 019  | 1988 | 17(3)    |                    | Death – lump sum; wholly dependent  |  |
| 018  | 1988 | 17(4)    |                    | Death – lump sum; partly dependent  |  |
| 020  | 1988 | 17(5)    | Y                  | Death – weekly payments for children  |  |
| 022  | 1988 | 18(2)    |                    | Funeral expenses  |  |
| 024  | 1988 | 19(2)    | Y                  | Incapacity – during first 45 weeks  |  |
| 042  | 1988 | 19(3)    | Y                  | Incapacity – post 45 weeks  |  |
| 043  | 1988 | 19(3)(b) | Y                  | Incapacity – post 45 wks – 25% or less of NWH                                   |  |
| 044  | 1988 | 19(3)(c) | Y                  | Incapacity – post 45 wks – more than 25% to 50% of NWH                          |  |
| 045  | 1988 | 19(3)(d) | Y                  | Incapacity – post 45 wks – more than 50% to 75% of NWH                          |  |
| 046  | 1988 | 19(3)(e) | Y                  | Incapacity – post 45 wks – more than 75% to 99% of NWH                          |  |
| 047  | 1988 | 19(3)(a) | Y                  | Incapacity – post 45 wks – not working  |  |
| 048  | 1988 | 19(3)(f) | Y                  | Incapacity – post 45 wks – working normal hours                                 |  |
| 041  | 1988 | 20       | Y                  | Incapacity – ex-employee receiving superannuation pension                       |  |
| 039  | 1988 | 21       | Y                  | Incapacity – ex-employee receiving lump sum<br>benefit                          |  |
| 040  | 1988 | 21A      | Y                  | Incapacity – ex-employee receiving superannuation and received lump sum benefit |  |
| 025  | 1988 | 22(1)    | Y                  | Incapacity – employee maintained in hospital                                    |  |
| 055  | 1988 | 24(4)    |                    | Permanent impairment – lump sum   |  |
| 054  | 1988 | 25(2)    |                    | Permanent impairment – interim compensation                                     |  |
| 053  | 1988 | 26(2)    |                    | Permanent impairment – interest on late payment                                 |  |
| 056  | 1988 | 27(2)    |                    | Permanent impairment – non-economic loss  |  |
| 023  | 1988 | 29(1)    |                    | Household services  |  |
| 011  | 1988 | 29(3)    |                    | Attendant care services   |  |
| 059  | 1988 | 30(2)    | Y                  | Redemption of incapacity  |  |
| 049  | 1988 | 31(2)    | Y                  | Incapacity – recurrent payments after redemption                                |  |
| 061  | 1988 | 36(5)    |                    | Rehabilitation – assessment   |  |
| 062  | 1988 | 36(6)    |                    | Rehabilitation – assessment travel costs  |  |
| 064  | 1988 | 37(4)    |                    | Rehabilitation – provision of program   |  |
| 063  | 1988 | 37(5)    | Y                  | Rehabilitation – incapacity payments during program                             |  |
| 007  | 1988 | 39(1)(e) |                    | Alterations/modifications – aids/appliances                                     |  |
| 008  | 1988 | 39(1)    |                    | Alterations/modifications – general   |  |

| Code | Act  | Section  | Incapacity<br>Flag | Description  |  |
|------|------|----------|--------------------|--|--|
| 009  | 1988 | 39(1)(c) |                    | Alterations/modifications – home or work                         |  |
| 010  | 1988 | 39(1)(d) |                    | Alterations/modifications – vehicle                              |  |
| 006  | 1988 | 45(4)    |                    | Action for damages (common law)                                  |  |
| 016  | 1988 | 48(3)    |                    | Damages recovered  |  |
| 013  | 1988 | 50(2)    |                    | Common law against 3rd party – legal costs                       |  |
| 066  | 1988 | 57(3)    |                    | Required medical examination – costs                             |  |
| 057  | 1988 | 62(4)    |                    | Reconsideration of determination                                 |  |
| 001  | 1988 | 67(1)    |                    | AAT – authority costs  |  |
| 003  | 1988 | 67(2)    |                    | AAT – costs to employee – favourable recon                       |  |
| 004  | 1988 | 67(8)    |                    | AAT – costs to employee – favourable AAT decision                |  |
| 002  | 1988 | 67(9)    |                    | AAT – costs to employee – AAT remits to authority                |  |
| 005  | 1988 | 67       |                    | AAT costs of proceedings   |  |
| 012  | 1988 | 69       |                    | Comcare administration and finance functions                     |  |
| 079  | 1988 | 70       |                    | General Comcare Powers   |  |
| 094  | 1988 | 108F     |                    | Powers of Licensee   |  |
| 014  | 1988 | 117      |                    | Compensation payable to locally engaged overseas employees       |  |
| 032  | 1988 | 131      | Y                  | Part X - former employee <65 with super                          |  |
| 034  | 1988 | 131(2)   | Y                  | Part X - former employee <65 with super-combined benefit >95%    |  |
| 035  | 1988 | 131(3)   | Y                  | Part X - former employee <65 with super-combined benefit 70%-95% |  |
| 033  | 1988 | 131(4)   | Y                  | Part X - former employee <65 with super-combined benefit < 70%   |  |
| 029  | 1988 | 132(2)   | Y                  | Part X - former employee <65 no super-1971<br>amount >95%        |  |
| 030  | 1988 | 132(3)   | Y                  | Part X - former employee <65 no super-1971<br>amount 70%-95%     |  |
| 028  | 1988 | 132(4)   | Y                  | Part X - former employee <65 no super-1971<br>amount <70%        |  |
| 036  | 1988 | 132A(2)  | Y                  | Part X - former employee <65 with Super-earning ability          |  |
| 031  | 1988 | 132A(3)  | Y                  | Part X - former employee <65 with no Super-<br>earning ability   |  |
| 026  | 1988 | 134      | Y                  | Part X - former employee - reduction on reaching 65              |  |
| 038  | 1988 | 135      | Y                  | Part X - former employee >65 with Super                          |  |
| 037  | 1988 | 136      | Y                  | Part X - former employee >65 no Super                            |  |
| 058  | 1988 | 137(2)   | Y                  | Part X - former employee – redemption                            |  |

#### A.5 Reconsideration issue code and Appeal issue code classification

| Code | Description                  | Code | Description                        |
|------|------------------------------|------|------------------------------------|
| AB   | Accepted benefit             | MA   | Medical assessment under s 57      |
| AL   | Accepted initial liability   | PA   | Permanent impairment assessment    |
| AS   | Accepted secondary condition | PD   | Denied permanent impairment        |
| CB   | Ceased benefit               | R6   | Rehabilitation issues under s 36   |
| DB   | Denied benefit               | R7   | Rehabilitation issues under s 37   |
| DL   | Denied initial liability     | RL   | Revoked liability                  |
| DS   | Denied secondary condition   | SD   | Suspended under s 36, s 37 or s 57 |
| EA   | Error amendment              |      |                                    |

#### A.6 Payment category code classification

| Code | Description                            | Code | Description                            |
|------|--|------|--|
| 005  | Acupuncture                            | 003  | AAT – claimant legal disbursement      |
| 008  | Alexander technique                    | 004  | AAT – claimant legal fees              |
| 012  | Audiology                              | 092  | AAT – claimant other legal costs       |
| 014  | Chiropractor                           | 088  | AAT – other legal costs                |
| 023  | Diet/Nutrition                         | 101  | Federal Court Costs (AAT)              |
| 024  | Electrotherapy by physiotherapist      | 102  | High Court Costs (AAT)                 |
| 025  | Feldenkrais                            | 015  | Common law – authority legal fees      |
| 030  | Homeopathy                             | 016  | Common law – claimant legal fees       |
| 036  | Hydrotherapy by physiotherapist        | 089  | Common law – other legal costs         |
| 037  | Hypnotherapy                           | 093  | Legal advice – general                 |
| 044  | Massage                                | 099  | Legal investigation & surveillance     |
| 050  | Movement therapy                       | 100  | Legal medical reports and              |
|      |  |      | examinations (non s 57)                |
| 051  | Naturopathy                            | 059  | Other non-compensation legal costs     |
| 052  | Nursing care                           | 070  | Reconsideration – authority            |
|      |  |      | disbursement                           |
| 053  | Occupational therapy                   | 071  | Reconsideration – authority legal fees |
| 054  | Osteopathy                             | 072  | Reconsideration – facilitation costs   |
| 098  | Osteotherapy                           | 090  | Reconsideration – other legal costs    |
| 055  | Other allied health                    | 073  | Reconsideration – protocol costs       |
| 063  | Physical therapy                       | 078  | Third party – authority legal          |
|      |  |      | disbursement                           |
| 064  | Physiotherapy                          | 079  | Third party – authority legal fees     |
| 065  | Podiatrist                             | 080  | Third party costs                      |
| 069  | Psychologist                           | 038  | General investigation & surveillance   |
| 077  | Speech therapy                         | 047  | Medical examination – s 57(3) costs –  |
|      |  |      | travel                                 |
| 083  | Travel – ambulance                     | 060  | Other non-compensation other costs     |
| 017  | Common law lump sum – economic         | 082  | Travel – aeroplane                     |
| 018  | Common law lump sum – non-<br>economic | 084  | Travel – other                         |
| 019  | Common law lump sum – other            | 085  | Travel – private vehicle               |
| 020  | Death payment                          | 086  | Travel – public transport              |
| 022  | Dependant weekly payments              | 006  | Aids & appliances/modifications        |
| 026  | Funeral expenses                       | 011  | Attendant care services                |
| 056  | Other death benefits                   | 013  | Child care payments                    |
| 031  | Hospital – other                       | 010  | Compensation assessment                |
| 032  | Hospital – private                     | 007  | Counselling                            |
| 033  | Hospital – public                      | 028  | Health & fitness program               |
| 009  | Anaesthetics                           | 035  | Household services                     |
| 000  | Dentists                               | 057  | Other goods and services               |
| 027  | General practitioner                   | 097  | Pain management & education            |
| 029  | HIC reimbursements                     | 062  | Pharmaceutical costs                   |
| 034  | Hospital emergency/casualty treatment  | 067  | Property – personal                    |
| 001  |  |      |  |

| Code | Description                                    | Code | Description                                  |
|------|--|------|--|
| 045  | Medical examination (non s 57)                 | 081  | Translation services                         |
| 046  | Medical examination – s 57(3) costs – report   | 039  | Lump sum – interest on late payment          |
| 048  | Medical home assessment                        | 040  | Lump sum – non-economic loss                 |
| 049  | Medical imaging (x-rays etc)                   | 041  | Lump sum – other redemption/lump<br>sum      |
| 058  | Other medical                                  | 042  | Lump sum – permanent impairment              |
| 061  | Pathology                                      | 043  | Lump sum – redemption                        |
| 066  | Private health insurance<br>reimbursements     | 074  | Rehabilitation – assessment                  |
| 068  | Psychiatrist                                   | 075  | Rehabilitation – costs of program (provider) |
| 076  | Specialists, consulting<br>physicians,surgeons | 095  | Rehabilitation – job placement               |
| 001  | AAT – authority legal disbursement             | 094  | Rehabilitation – retraining                  |
| 002  | AAT – authority legal fees                     | 096  | Rehabilitation – vocational counselling      |
| 091  | AAT – authority other legal costs              | 087  | Weekly compensation                          |

#### A.7 Rehabilitation plan closure reason code classification

| Code | Description   |
|------|---|
| А    | Deceased.   |
| В    | Back at work.   |
| С    | Rehabilitation authority (usually employer) cessation of program. |
| D    | Deferred.   |
| E    | Employee withdrew from program or declined work.                  |
| Р    | Provider cessation of involvement.                                |
| R    | Redundancy.   |
| Т    | Totally and permanently incapacitated for work.                   |
| U    | Partial incapacity—unable to place in suitable employment.        |
| V    | Voluntary retirement.   |
| Z    | Short term total incapacity.                                      |

#### A.8 Determination reason code classification

| Code | Act Reference | Description  | Notes   |
|------|---------------|--|---|
|      |               |  |   |
| 0537 | 5A            | Injury definition arising in or out the course of employment | Valid for Accept /Reject status<br>for injury claims  |
| 0538 | 5A(2)         | Reasonable Administration<br>Action exclusion                | Valid for Reject status                               |
| 0539 | 5B            | Disease definition significant contribution                  | Valid for Accept /Reject status<br>for disease claims |
| 0318 | 6(1)(a)       | Act of violence  | Valid for Accept /Reject status<br>for injury claims  |
| 0319 | 6(1)(b)       | A place of work including<br>during an ordinary recess       | Valid for Accept /Reject status<br>for injury claims  |
| 0533 | 6(1)(c)       | Temporarily absent from work<br>undertaking an activity      | Valid for Accept /Reject status<br>for injury claims  |
| 0322 | 6(1)(d)       | Travelling for the purpose of employment                     | Valid for Accept /Reject status<br>for injury claims  |
| 0324 | 6(1)(e)       | At a place of education                                      | Valid for Accept /Reject status<br>for injury claims  |
| 0534 | 6(1)(f)       | At a prescribed place  | Valid for Accept /Reject status<br>for injury claims  |
| 0535 | 6(1)(g)       | Travelling between place of work and a prescribed place      | Valid for Accept /Reject status<br>for injury claims  |
| 0536 | 6(1C)         | Travel exclusion   | Valid for Reject status for<br>injury claims          |
| 0329 | 6(3)          | Injury exclusion—Abnormal<br>risk                            | Valid for Reject status for<br>injury claims          |
| 0330 | 7(1)          | Specified diseases and specified employment                  | Valid for Accept /Reject status<br>for disease claims |
| 0331 | 7(2)          | Significantly greater incidence of contraction of disease    | Valid for Accept /Reject status<br>for disease claims |
| 0332 | 7(3)          | Greater incidence of aggravation of disease                  | Valid for Accept /Reject status<br>for disease claims |
| 0337 | 7(7)          | False representation   | Valid for Reject status for disease claims            |
| 0530 | 7(8)          | Firefighters presumption                                     | Valid for Accept /Reject status<br>for disease claims |
| 0587 | 7(11)         | Post-traumatic stress disorder presumption                   | Valid for Accept /Reject status<br>for disease claims |
| 0193 | 14(2)         | Self-inflicted exclusion                                     | Valid for Reject status                               |
| 0194 | 14(3)         | Wilful misconduct exclusion                                  | Valid for Reject status                               |

#### A.9 Type of Occurrence Classification System (TOOCS)

| Description | TOOCS provides a system for coding the circumstances<br>surrounding an injury/disease occurrence.  |  |  |
|-------------|--|--|--|
| Versions    | <u>TOOCS2.1</u> Type of Occurrence Classification System,<br>Second Edition (Revision 1), May 2002.  |  |  |
|             | <u>TOOCS3.0</u> Type of Occurrence Classification System, Third Edition, March 2004.   |  |  |
|             | <u>TOOCS3.1</u> Type of Occurrence Classification System, Third Edition (Revision 1), May 2008.  |  |  |
| Rules       | 1. TOOCS2.1 must not be used for claims with a <i>Received</i> by claims processing date (B21) on or after 1 July 2005.                      |  |  |
|             | <ol> <li>TOOCS3.0 must not be used for claims with a <i>Received</i><br/>by claims processing date (B21) on or after 1 July 2008.</li> </ol> |  |  |
|             | <ol> <li>TOOCS3.1 must be used for claims with a <i>Received by</i><br/>claims processing date (B21) on or after 1 July 2008.</li> </ol>     |  |  |
|             | 4. The latest version may be used for any claim irrespective of the <i>Received by claims processing date</i> (B21).                         |  |  |

#### A.10 National Data Validation System, 3rd edition (NDVS3)

The NDVS3 comprises three validation levels, designed primarily to improve the quality and accuracy of the Type of Occurrence Classification System (TOOCS) coding. The NDVS3 ensures that only valid TOOCS codes and valid combinations of TOOCS codes are reported. Only Levels 1 and 2 of the NDVS3 are currently applied to data submitted to the Commission Data Warehouse.

#### Level 1: Minimum legality edits

This level of validation ensures that only valid codes, as defined by TOOCS are reported. Refer Appendix A.9 for details of applicable TOOCS versions and implementation dates.

#### Level 2: Minimum cross-validation edits

This level of validation ensures the internal accuracy of TOOCS coding by specifying valid combinations of codes, specifically:

- 1. Nature of injury/disease and Bodily location of injury/disease codes (currently comprises 33 rules defined at Table 3); and
- 2. Nature of injury/disease and Mechanism of incident codes (currently comprises four rules defined at Table 4).

For example, Level 2 of the NDVS3 specifies that the Nature of injury/disease code 781 (Asthma) must be coded in combination with Bodily location of injury/disease code 334 (Lung, trachea and bronchus) or 720 (Respiratory system in general). Nature of injury/disease code 781 (Asthma) with any other Bodily location of injury/disease code is considered invalid.

Conversely, TOOCS codes not specified within Level 2 of the NDVS3 may be coded in combination with any other valid TOOCS code, with consideration for the Coding Guidelines specified at Part B of the current TOOCS manual.

Each valid TOOCS coding combination is specified in explanatory notes associated with the relevant code, or group of codes in the current TOOCS manual.

#### Level 3: Detailed cross-validation edits

This level of validation ensures internal accuracy of TOOCS coding by specifying valid combinations of codes, not specified at Level 2:

- 1. Nature of injury/disease and Bodily location of injury/disease codes;
- 2. Nature of injury/disease and Agency of injury/disease codes; and
- 3. Mechanism of incident and Breakdown agency of injury/disease codes.

Data submitted to the Commission Data Warehouse is <u>not</u> currently validated against Level 3 of the NDVS3. Due to the volume of combinations that comprise Level 3 (approximately 84,000), these are not included in this Specification.

To obtain a copy of the Level 3 coding combinations, contact SRA on 1300 366 979 or email to SchemeReportingandAnalysis@comcare.gov.au.

#### Table 3: Minimum cross-validation edits (Level 2) -Nature of injury/disease and Bodily location of injury/disease codes

| Nature of injury/disease |  | must code to Bodily location of injury/disease |   |
|--------------------------|--|--|---|
| Code                     | e Description  |  | Description   |
| 101                      | Brain injury   | 111  | Brain   |
| 111                      | Fractured skull and facial bones                           | 141<br>110<br>150<br>160<br>180<br>190         | Tooth, <i>or</i><br>Cranium, <i>or</i><br>Nose, <i>or</i><br>Face, nec, <i>or</i><br>Head – multiple locations, <i>or</i><br>Head – unspecified locations |
| 313                      | Heat stress/heat stroke                                    | 710  | Circulatory system in general   |
| 702                      | Post-traumatic stress disorder                             | 800  | Psychological system in general   |
| 703                      | Anxiety/stress disorder                                    | 800  | Psychological system in general   |
| 704                      | Depression   | 800  | Psychological system in general   |
| 705                      | Anxiety/depression combined                                | 800  | Psychological system in general   |
| 706                      | Short term shock from exposure to disturbing circumstances | 800  | Psychological system in general   |

| Nature of injury/disease |   | must code to Bodily location of injury/disease |   |
|--------------------------|---|--|---|
| Code                     | Description   | Code   | Description   |
| 707                      | Reaction to stressors – other, multiple or not specified                        | 800  | Psychological system in general   |
| 718                      | Other mental diseases, not elsewhere classified                                 | 800  | Psychological system in general   |
| 719                      | Mental diseases unspecified   | 800  | Psychological system in general   |
| 721                      | Hernias   | 340<br>334                                     | Abdominal muscles and tendons, <i>or</i><br>Lung, trachea and bronchus                                      |
| 781                      | Asthma  | 334<br>720                                     | Lung, trachea and bronchus, <i>or</i><br>Respiratory system in general                                      |
| 782                      | Legionnaires' disease   | 334<br>720                                     | Lung, trachea and bronchus, <i>or</i><br>Respiratory system in general                                      |
| 783                      | Asbestosis  | 334<br>720                                     | Lung, trachea and bronchus, <i>or</i><br>Respiratory system in general                                      |
| 784                      | Silicosis   | 334<br>720                                     | Lung, trachea and bronchus, <i>or</i><br>Respiratory system in general                                      |
| 785                      | Pneumoconiosis due to coal dust   | 334<br>720                                     | Lung, trachea and bronchus, <i>or</i><br>Respiratory system in general                                      |
| 786                      | Pneumoconiosis excluding asbestosis, silicosis and coal workers' pneumoconiosis | 334<br>720                                     | Lung, trachea and bronchus, <i>or</i><br>Respiratory system in general                                      |
| 787                      | Other respiratory conditions due to substances                                  | 334<br>720                                     | Lung, trachea and bronchus, <i>or</i><br>Respiratory system in general                                      |
| 788                      | Chronic bronchitis, emphysema and allied conditions                             | 334<br>720                                     | Lung, trachea and bronchus, <i>or</i><br>Respiratory system in general                                      |
| 798                      | Other diseases of the respiratory system, not elsewhere classified              | 211<br>334<br>720                              | Neck – internal organs and glands<br>Lung, trachea and bronchus, <i>or</i><br>Respiratory system in general |
| 799                      | Other diseases of the respiratory system, unspecified                           | 334<br>720                                     | Lung, trachea and bronchus, <i>or</i><br>Respiratory system in general                                      |
| 961                      | Damage to artificial aid(s)   | 900  | Unspecified locations   |

# Table 4: Minimum cross-validation edits (Level 2) - Nature of injury/disease and Mechanism of incident codes

| Nature of injury/disease |                                  | must code to Mechanism of incident |   |
|--------------------------|----------------------------------|------------------------------------|---|
| Code Description Co      |                                  | Code                               | Description   |
| 862                      | Malignant melanoma of skin       | 55                                 | Exposure to non-ionising radiation  |
| 863                      | Other malignant neoplasm of skin | 55                                 | Exposure to non-ionising radiation  |
| 865                      | Carcinoma in situ of skin        | 55                                 | Exposure to non-ionising radiation  |
| 867                      | Benign neoplasm of skin          | 55                                 | Exposure to non-ionising radiation  |
| 821                      | Intestinal infectious diseases   | 71<br>72<br>79                     | Contact with, or exposure to, biological<br>factors of non-human origin, <i>or</i><br>Contact with, or exposure to, biological<br>factors of human origin, <i>or</i><br>Contact with, or exposure to, biological<br>factors of unknown origin |

#### A.11 Timeframe situation code classification

Periods in which calendar days are not counted toward the timeframe for determining liability for initial claims for workers' compensation under section 14 of the SRC Act. These 'stop-clock' provisions do not apply to reconsiderations.

| ode | Description of Situation   | Start day   | End day  |
|-----|--|---|--|
| 01  | <u>S57 – Medical exam for employee:</u><br>The determining authority has, under<br>section 57 of the Act, required the<br>claimant to undergo an examination<br>by a legally qualified medical<br>practitioner nominated by the<br>determining authority | The day the<br>determining authority<br>gives the claimant<br>written notice of the<br>requirement          | The day the determining authority<br>receives the results of the<br>examination  |
| 02  | <u>S58 – Request for employee to</u><br><u>provide info:</u> The determining<br>authority has, under section 58 of the<br>Act, requested the claimant to give<br>information or a copy of a document   | The day the<br>determining authority<br>gives the claimant<br>written notice of the<br>request              | <ul> <li>The earlier of the following days:</li> <li>(a) the day the determining authority receives the information or copy of the document;</li> <li>(b) the day the claimant advises the determining authority that the claimant does not have the information or document</li> </ul>  |
| 03  | <u>S71 – Request for employer to</u><br><u>provide info:</u> The determining<br>authority has, under section 71 of the<br>Act, required a principal officer of an<br>employer of the claimant to give<br>information or documents                        | The day the<br>determining authority<br>gives the principal<br>officer written notice of<br>the requirement | <ul> <li>The earlier of the following days:</li> <li>(a) the day the determining authorit receives the information or documents;</li> <li>(b) the day the principal officer advises the determining authorit that the employer does not have the information or document in the employer's possession, custody or control;</li> <li>(c) the day on which the determining authority reasonably believes the principal officer has failed to comply with the notice</li> </ul> |
| 04  | Employee supplying additional info:<br>The claimant has advised the<br>determining authority that the<br>claimant will provide further evidence  | The day the claimant<br>so advises the<br>determining authority   | <ul> <li>The earlier of the following days:</li> <li>(a) the day the determining authorir receives the further evidence;</li> <li>(b) the day the claimant advises the determining authority that no further evidence will be provide</li> <li>(c) the day on which the determining authority reasonably believes the claimant will not provide any further evidence</li> </ul>  |
| 05  | Medical report required: The<br>determining authority considers that it<br>is reasonable and necessary to obtain<br>further medical evidence by requiring<br>a report from:  | The day the<br>determining authority<br>requests the further<br>evidence                                    | The day the determining authority receives the report  |
|     | <ul> <li>(a) the claimant's legally qualified<br/>medical practitioner; or</li> <li>(b) a legally qualified medical<br/>practitioner (LQMP) nominated by<br/>the determining authority</li> </ul>  |   |  |

### Appendix B Cost centres and cost centre structures

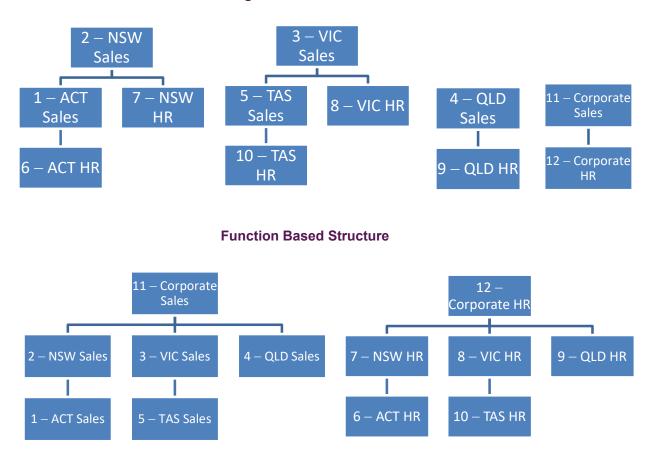
The cost centre facility allows reporting both summary and claim level data from the data warehouse back to licensees, grouped by cost centre and/or cost centre structure.

Cost centres and cost centre structures are defined by the determining authority. A cost centre structure may group individual cost centres by, for example, location or business function. The data warehouse allows for nine levels of cost centres within a cost centre structure. An example of how cost centres and cost centre structures might be defined is included below.

If a determining authority does not wish to utilise the cost centre reporting facility then only a single, whole-of-organisation cost centre need be defined. If only basic cost centre reporting is required, no cost centre structures need be defined.

#### **B.1** Cost centre structure example

Represented below is an organisation with a number of state offices and different departments within those offices. The cost centres can be grouped at in many ways, two of which are shown in the structure diagrams below.



#### **Region Based Structure**

The structures and cost centres represented diagrammatically above are now shown below in the relevant files for data warehouse reporting.

#### **COSTCTR File Contents**

| cost_centre_no cost_centre_name_tx |                 | cost_cenre_short_name_tx |
|------------------------------------|-----------------|--------------------------|
| 1                                  | ACT Sales       | ACT-SAL                  |
| 2                                  | NSW Sales       | NSW-SAL                  |
| 3                                  | VIC Sales       | VIC-SAL                  |
| 4                                  | QLD Sales       | QLD-SAL                  |
| 5                                  | TAS Sales       | TAS-SAL                  |
| 6                                  | ACT HR          | ACT-HR                   |
| 7                                  | NSW HR          | NSW-HR                   |
| 8                                  | VIC HR          | VIC-HR                   |
| 9                                  | QLD HR          | QLD-HR                   |
| 10                                 | TAS HR          | TAS-HR                   |
| 11                                 | Corporate Sales | COPR-SAL                 |
| 12 Corporate HR                    |                 | CORP-HR                  |

#### **CUSTSTR File Contents**

| structure_no | strucutre_desc_tx |
|--------------|-------------------|
| 1            | Region            |
| 2            | Function          |

#### **RELCC File Contents**

| structure_no | cost_centre_no | level_no | parent_cost_centre_no |
|--------------|----------------|----------|-----------------------|
| 1            | 1              | 2        | 2                     |
| 1            | 2              | 1        | 0                     |
| 1            | 3              | 1        | 0                     |
| 1            | 4              | 1        | 0                     |
| 1            | 5              | 2        | 3                     |
| 1            | 6              | 3        | 1                     |
| 1            | 7              | 2        | 2                     |
| 1            | 8              | 2        | 3                     |
| 1            | 9              | 2        | 4                     |
| 1            | 10             | 3        | 5                     |
| 1            | 11             | 1        | 0                     |
| 1            | 12             | 2        | 11                    |
| 2            | 1              | 3        | 2                     |
| 2            | 2              | 2        | 11                    |
| 2            | 3              | 2        | 11                    |
| 2            | 4              | 2        | 11                    |
| 2            | 5              | 3        | 3                     |
| 2            | 6              | 3        | 7                     |
| 2            | 7              | 2        | 12                    |
| 2            | 8              | 2        | 12                    |
| 2            | 9              | 2        | 12                    |
| 2            | 10             | 3        | 8                     |
| 2            | 11             | 1        | 0                     |
| 2            | 12             | 1        | 0                     |

# Glossary

| AFDA    | Administrative Functions Disposal Authority  |
|---------|--|
|         | An AFDA authorises the disposal of records, in whatever format.<br>The Authority is issued in accordance with Section 24 of the<br>Archives Act 1983.  |
|         | www.naa.gov.au   |
| LKPIs   | Licensee Key Performance Indicators  |
|         | The Commission has set key performance indicators across a number of areas for licensees, as outlined in Section 7 of the Licence Compliance and Performance Model.  |
| NDS     | National Data Set for Compensation-based Statistics  |
|         | The NDS describes a common set of data items and definitions<br>for inclusion in workers' compensation systems in Australia and<br>New Zealand. Data according to NDS specifications are reported<br>annually to Safe Work Australia (SWA) and incorporated in a<br>variety of national statistical reports. The 3rd edition of the NDS<br>(NDS3) came into effect from 1 July 2005. |
|         | https://www.safeworkaustralia.gov.au/doc/national-dataset-<br>compensation-based-statistics-3rd-edition-revision-1   |
| NDVS    | National Data Validation System  |
|         | The NDVS specifies valid TOOCS codes and coding combinations. A 3rd edition of the NDVS (NDVS3) was developed to align with NDS3.  |
|         | The NDVS3 is not currently available online. Copies of the NDVS3 may be obtained by contacting Safe Work Australia.  |
| SRC Act | Safety, Rehabilitation and Compensation Act 1988   |
| SWA     | <b>Safe Work Australia</b> (formerly the Office of the Australian Safety and Compensation Council (OASCC))   |
|         | www.safeworkaustralia.gov.au   |

#### TOOCS

#### Type of Occurrence Classification System

TOOCS provides a system for coding the circumstances surrounding an injury/disease occurrence. While integral to the NDS, TOOCS may also be employed for coding incidents that have not resulted in a compensation claim.

http://www.safeworkaustralia.gov.au/sites/swa/aboutsafeworkaus tralia/whatwedo/publications/pages/GM200805TOOCS3rdEdition Revision1.aspx

## Version control

| Version<br>Number | Date           | Description   |
|-------------------|----------------|---|
| 1                 | July 2006      | Original version.   |
| 1.1               | June 2007      | <ul> <li>Summary of validations applied to each data field.</li> <li>Selected 'Rules' amended to only validate claims that are either: <ul> <li>compliant and/or</li> <li>determined and/or</li> </ul> </li> <li>on or after 1 January 1997.</li> <li>Updated to reference revised Commission indicators.</li> <li>Additions to the coding classifications.</li> <li>Data supply alternative – electronic submission (email).</li> <li>Inclusion of occupation and industry classification changes as of 1 July 2008.</li> </ul>  |
| 1.2               | October 2007   | Rule amendment – <i>Injury date</i> (B3):<br>– Rule 3. Injured worker should be between 15 and 70 at time of<br>injury (based on related <i>Birth date</i> (A2)).   |
| 1.3               | March 2008     | <ul> <li>Amended document title.</li> <li>Inclusion of copyright and endorsement particulars.</li> <li>Updated section 2.3 Frequency and timing of data supply.</li> <li>Updated section 2.4 Data supply medium.</li> <li>Updated summary of validation rule applied to Third party recoveries amount (B32).</li> <li>Clarification of Withdrawn (W) classification in relation to: <ul> <li>Determination status code (C3)</li> <li>Incapacity determination code (G4)</li> <li>Reconsideration decision code (H7).</li> </ul> </li> <li>Removed Commission indicator reporting requirement reference – Received by claims processing date (B21).</li> <li>Removed NDS reporting requirement reference – Appeal identifier (H8).</li> <li>Updated Glossary.</li> </ul> |
| 1.4               | July 2008      | <ul> <li>Inclusion of Appendix A.108, new validations and amendments to facilitate the implementation of TOOCS3.1, in relation to:</li> <li>Nature of injury/disease code (B4)</li> <li>Bodily location of injury/disease code (B5)</li> <li>Mechanism of incident code (B6)</li> <li>Agency of injury/disease code (B7)</li> <li>Breakdown agency of injury/disease code (B8)</li> <li>Determined condition (B16).</li> <li>Removed Commission indicator RI.1 references.</li> <li>Removed ASCO2 and ANZSIC 1993 classification references.</li> <li>Updated Glossary.</li> </ul>  |
| 1.5               | September 2008 | Description clarification – <i>Occupation code</i> (B9):<br>Codes may be supplied at either the unit group level (4-digit level<br>with two trailing zeros) or at the occupation group level (6-digit<br>level).  |
| 1.6               | June 2009      | <ul> <li>Inclusion of Appendix A.10, new validations and amendments to facilitate the implementation of NDVS3, in relation to:</li> <li>Nature of injury/disease code (B4)</li> <li>Bodily location of injury/disease code (B5)</li> <li>Mechanism of incident code (B6)</li> <li>Agency of injury/disease code (B7)</li> <li>Breakdown agency of injury/disease code (B8)</li> <li>Formatting and layout changes.</li> </ul>   |

| Version<br>Number | Date     | Description  |
|-------------------|----------|--|
|                   |          | Updated Glossary.  |
| 2.0 (draft)       | May 2012 | <ul> <li>This version of the CDW specifications includes the following changes:</li> <li>inclusion of new data fields to capture personal contact details for the purpose of surveying injured workers (see below for further detail);</li> <li>inclusion of new data fields and a new file structure to assist in the capture and identification of scheme significant appeals and court proceedings (see below for further detail);</li> <li>incorporation of six new data validation rules (see below for further detail);</li> <li>updated references to reflect relevant Determining Authority Key Performance Indicators (DAKPIs);</li> <li>updated <i>Data Supply Procedures</i> Section 2.4 to reflect new process for data submissions and changes in data retention legislation; and</li> <li>updated glossary.</li> </ul> |
|                   |          | New data validation rules<br>A1 Unique employee identifier (Rule 2)<br>B1 Unique claim identifier (Rule 2)<br>E2 Cost centre structure number (Rule 3)<br>H5 Reconsideration issue code (Rule 2)<br>I6 Appeal issue code (Rule 2)<br>K3 Rehabilitation plan determination date (Rule 3)  |
|                   |          | <i>New file structure</i><br>An additional Court file has been added.  |
|                   |          | New data fields<br><u>Employee file</u><br>A6 renamed to Country of residence code<br>A7 Surname<br>A8 Given names<br>A9 Title<br>A10 Potential adverse impact flag<br>A11 Potential adverse impact date<br>A12 Home phone number<br>A13 Mobile phone number<br>A14 Interpreter required flag<br>A15 Preferred spoken language<br>A16 Mailing address country code<br>A17 Mailing address suburb<br>A19 Mailing address line 1<br>A20 Mailing address line 2   |
|                   |          | A21 Mailing address line 3<br><u>Claim file</u><br>B35 Nominated representative's name<br>B36 Nominated representative's phone number<br><u>Appeal file</u><br>I9 Appeal decision method code<br>I10 Appeal substantive hearing date   |

| Version<br>Number | Date           | Description   |
|-------------------|----------------|---|
|                   |                | I11 Scheme significant flag<br>I12 AAT reference  |
|                   |                | Court file<br>M1 Unique court identifier<br>M2 Claim identifier<br>M3 Appeal identifier<br>M4 Court type code<br>M5 Filed date<br>M6 Court initiator code<br>M7 Court substantive hearing date<br>M8 Resolved date<br>M9 Court decision code<br>M10 Court decision method code<br>M11 Court reference |
| 2.0               | September 2012 | The following changes have been made to the document following feedback received on the v2.0 draft and comprehensive system testing and specification refinement and clarification by Comcare.  |
|                   |                | Rule amendments – 'must' has been replaced by 'should' in the following rules with intent to reverse again in future:<br><i>Unique employee identifier</i> (A1) rule 2<br><i>Unique claim identifier</i> (B1) rule 2  |
|                   |                | Rule amendment – <i>Deceased date</i> (A3) rule 1 – 'any related claim' has been replaced by 'any related compliant claim'.   |
|                   |                | Rule amendment – <i>Residential postcode</i> (A5) rules 1 and 2 –<br>'Country code' has been replaced with 'Country of residence code'.   |
|                   |                | Rule amendment – <i>Injury date</i> (B3) rule 3 – 'If claim is compliant' has been inserted.  |
|                   |                | Rule deletion – <i>Nature of injury/disease code</i> (B4) rule 4 has been replaced by a notation under the field description.   |
|                   |                | Rule amendment – <i>Breakdown agency of injury/disease code</i> (B8) rule 3 - reference to appendix 'A.11' has been replaced with 'A.9'.  |
|                   |                | Rule amendments – The following rules only apply if <i>Received by</i><br><i>claims processing date</i> (B21) is on or after 1 January 1997:<br><i>Claimant signed date</i> (B19) rule 2<br><i>Received by employer date</i> (B20) rule 2<br><i>Received by claims processing date</i> (B21) rule 2.  |
|                   |                | Rule amendment – <i>Incapacity start date</i> (G9) rule 2 - 'If the <i>Incapacity determination code</i> (G4) is 'A' (Accepted)' has been inserted.   |
|                   |                | Rule amendment – <i>Unique appeal identifier</i> (I1) rule 2 only applies if <i>Appeal received date</i> (I3) is on or after 1 January 1997.  |
|                   |                | <ul> <li>Rule amendment – Appeal decision method code (I9)</li> <li>4. If not null, if the Appeal decision code (I8) is 'D' (Dismissed), then must be 'W' (Withdrawn by applicant) or 'O' (Other).</li> </ul>   |

| Version<br>Number | Date         | Description   |
|-------------------|--------------|---|
|                   |              | <ol> <li>If not null, if the Appeal decision code (I8) is not 'D'<br/>(Dismissed), then must be 'C' (Decision by consent) or 'H'<br/>(Decision by Tribunal hearing).</li> </ol>   |
|                   |              | Rule amendment – <i>Scheme significant flag</i> (I11) rule 1 – 'is null or' has been replaced with 'is'.  |
|                   |              | Rule amendment – <i>AAT reference</i> (I12) rule 1 – 'is null or' has been replaced with 'is'.  |
|                   |              | Description clarification – <i>AAT reference</i> (I12):<br>There is no prescribed form for this field, however a clear and<br>consistent approach should be used were possible<br>(eg. 'yyyy-##' or 'AATyyyy/####')   |
|                   |              | Rule amendment – <i>Rehabilitation plan final outcome date</i> (K10)<br>rule 2 – 'If <i>Rehabilitation plan determination date</i> (K3) is on or after<br>1 January 1997' has been inserted.  |
|                   |              | <ul> <li>Rule amendment - Court decision method code (M10)</li> <li>4. If not null and the Court decision code (M9) is 'D' (Dismissed) then must be 'W' (Withdrawn by applicant) or 'O' (Other).</li> <li>5. If not null and the Court decision code (M9) is not 'D' (Dismissed) then must be 'C' (Decision by consent) or 'H' (Decision by Tribunal hearing).</li> </ul> |
|                   |              | Description clarification – <i>Court reference</i> (M11):<br>There is no prescribed form for this field, however a clear and<br>consistent approach should be used were possible<br>(eg. 'yyyy-##' or 'FCyyyy/#####')   |
| 2.1               | January 2013 | The following amendments were made to the document during implementation of CDW v2.0.   |
|                   |              | Updated to reference 1 July 2013 as the implementation date for v2.0.   |
|                   |              | Removal of CDW v2.0 implementation date reference in Glossary.  |
|                   |              | Description clarification – <i>Nominated Representatives Name</i><br>(B35):<br>For example a legal representative, friend or family member. This<br>contact is not intended to be an employer representative.   |
|                   |              | Rule amendment – <i>Appeal Issue Code</i> (I6) rule 2 – 'is null or' has been replaced with 'is'.   |
|                   |              | Rule and description amendment – <i>Appeal Identifier</i> (M3) rule 1 – relaxed to allow blanks only where the court matter is legitimately not linked to an AAT appeal.  |
|                   |              | Description clarification – <i>Home phone number</i> (A12) and <i>Mobile phone number</i> (A13): If this information is not available "unknown" or similar may be entered.  |
|                   | August 2013  | Rule addition – <i>Unique claim identifier</i> (B1) rule 3 – Where the latest related <i>Claim determination Code</i> (C3) (based on <i>Claim identifier</i> (C1)) (ordered by <i>Date/time of determination status change</i> (C2)) is 'U' (undetermined) there should not be a <i>Claim</i>   |

| Version<br>Number | Date           | Description   |
|-------------------|----------------|---|
|                   |                | <i>determination Code</i> (C3) for this claim of either 'A' (accepted) or 'R' (rejected)'.  |
|                   | February 2014  | Appendix A, Table 3 – NDVS Minimum cross-validation edits<br>(Level 2) -Nature of injury/disease and Bodily location of<br>injury/disease codes – addition of Bodily location of injury/disease<br>211 - Neck – internal organs and glands as valid against Nature of<br>injury/disease 798 – Other diseases of the respiratory system, not<br>elsewhere classified.  |
|                   | March 2014     | Rule amendment – <i>Court filed date</i> (M5) rule 2 – Should be on or after the related <i>Appeal decision date</i> (I7).  |
| 2.2               | February 2017  | Changes to the following fields<br><i>A4 Gender Code</i> – Addition of gender code 'X"<br><i>K13 Rehabilitation Provider Code</i> – Expand Rehab provider code<br>to 11 digits, previously 4<br><i>Appendix A3 RTW status code classification</i> – Updated to align<br>with NDS reporting codes  |
|                   |                | New field added:<br>C4 Determination Reason Code<br>Appendix A8 Determination reason code classification  |
|                   | July 2017      | Clarification to fields C4 and K13.   |
|                   | September 2017 | Minor updates across the document including section 2.3.1 and updated Appendix reference under field B15.   |
| 2.3               | October 2020   | Minor update to labelling of incapacity act reference descriptions in section A.4.  |
| 3.0               | March 2024     | <ul> <li>The following changes have been made to the document to reflect the 2023 SRC Act legislation changes for the introduction of the <i>Safety, Rehabilitation and Compensation Amendment (Period for Decision-making) Regulations 2023</i>: <ul> <li><u>New data table - Timeframe</u></li> <li>Timeframe data file description added to Table 2 - data file descriptions</li> <li>Timeframe added to Figure 1 – Data file relationships</li> <li>3.2.14 Timeframe file added to section 3.2 data file specifications</li> <li>Timeframe situation_start_dt added to 3.3 fields to be summed for <i>control total amount</i></li> <li>4.14 Claim determination timeframe data fields added to 4. Data field definitions</li> <li>Appendix A.11 new code table and reference – Timeframe situation code classification</li> <li><u>Other</u></li> <li>2.3.2 Secure file attributes – CDW submission file compression, password security and naming convention</li> <li>Appendix A.5 – reconsideration and appeal issue code MA added for Medical assessment under s 57</li> <li>Appendix A.8 – determination reason code 0587 added for post-traumatic stress disorder presumption. SRC Act reference 7(11)</li> </ul> </li> </ul> |